Abstract

This document provides a reference framework for Operations, Administration and Maintenance (OAM) for Service Function Chaining (SFC).

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119] RFC 8174 [RFC8174] when and only when, they appear in all capitals, as shown here.

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1. Introduction

Service Function Chaining (SFC) enables the creation of composite services that consist of an ordered set of Service Functions (SF) that are to be applied to packets and/or frames selected as a result of classification [RFC7665]. SFC is a concept that provides for more than just the application of an ordered set of SFs to selected traffic; rather, it describes a method for deploying SFs in a way that enables dynamic ordering and topological independence of those SFs as well as the exchange of metadata between participating entities. The foundations of SFC are described in the following documents:

- SFC Problem Statement [RFC7498]
- SFC Architecture [RFC7665]

The reader is assumed to be familiar with the material in these documents.

This document provides a reference framework for Operations, Administration and Maintenance (OAM, [RFC6291]) of SFC. Specifically, this document provides:

- In Section 2, an SFC layering model;
- In Section 3, aspects monitored by SFC OAM;
- In Section 4, functional requirements for SFC OAM;
- In Section 5, a gap analysis for SFC OAM.

SFC OAM solution documents should refer to this document to indicate the SFC OAM component and the functionality they target.

OAM controllers are assumed to be within the same administrative domain as the target SFC enabled domain.
1.1. Document Scope

The focus of this document is to provide an architectural framework for SFC OAM, particularly focused on the aspect of the Operations component within OAM. Actual solutions and mechanisms are outside the scope of this document.

2. SFC Layering Model

Multiple layers come into play for implementing the SFC. These include the service layer and the underlying layers (Network Layer, Link Layer, etc.).

- The service layer, which consists of SFC data plane elements that includes classifiers, Service Functions (SF), Service Function Forwarders (SFF), and SFC Proxies. This layer uses the overlay network for ensuring connectivity between SFC data plane elements.

- The overlay network layer, which leverages various overlay network technologies interconnecting SFC data plane elements and allows establishing Service Function Paths (SFPs). This layer is mostly transparent to the SFC data plane elements.

- The underlay network layer, which is dictated by the networking technology deployed within a network (e.g., IP, MPLS)

- The link layer, which is dependent upon the physical technology used. Ethernet is a popular choice for this layer, but other alternatives are deployed (e.g. POS, DWDM). The same or distinct link layer technologies may be used in each leg shown in Figure 1.

```
++----+  ++----+  ++----+  ++----+  ++----+  ++----+  ++----+
|Classifier|---|SF1|---|SF2|---|SF3|---|SF4|---|SF5|---|SF6|---|SF7|
|   ++----+  ++----+  ++----+  ++----+  ++----+  ++----+  ++----+

--o----o----o----o----o----o----o----o----o----o----o----o----o

Figure 1: SFC Layering Example
```
While Figure 1 depicts a sample example where SFs are enabled as virtual entities, the SFC architecture does not make any assumptions on how the SFC data plane elements are deployed. The SFC architecture is flexible and accommodates physical or virtual entity deployment. SFC OAM accounts for this flexibility and accordingly it is applicable whether SFC data plane elements are deployed directly on physical hardware, as one or more Virtual Machines, or any combination thereof.

3. SFC OAM Components

The SFC operates at the service layer. For the purpose of defining the OAM framework, the service layer is broken up into three distinct components:

1. SF component: OAM functions applicable at this component includes testing the SFs from any SFC-aware network devices (e.g., classifiers, controllers, other service nodes). Testing an SF may not be restricted to connectivity to the SF, but also whether the SF is providing its intended service. Refer to Section 3.1.1 for a more detailed discussion.

2. SFC component: OAM functions applicable at this component includes (but are not limited to) testing the service function chains and the SFPs, validation of the correlation between an SFC and the actual forwarding path followed by a packet matching that SFC, i.e. the Rendered Service Path (RSP). Some of the hops of an SFC may not be visible when Hierarchical Service Function Chaining (hSFC) [RFC8459] is in use. In such schemes, it is the responsibility of the Internal Boundary Node (IBN) to glue the connectivity between different levels for end-to-end OAM functionality.

3. Classifier component: OAM functions applicable at this component includes testing the validity of the classification rules and detecting any incoherence among the rules installed in different classifiers.

Figure 2 illustrates an example where OAM for the three defined components are used within the SFC environment.
It is expected that multiple SFC OAM solutions will be defined, each targeting one specific component of the service layer. However, it is critical that SFC OAM solutions together provide the coverage of all three SFC OAM components: the SF component, the SFC component, and the classifier component.

3.1. The Service Function Component

3.1.1. Service Function Availability

One SFC OAM requirement for the SF component is to allow an SFC-aware network device to check the availability of a specific SF (instance), located on the same or different network device(s). The SF availability may be performed to check the availability of any instance of a specific SF or it can be a specific instance of a SF. SF availability is an aspect that raises an interesting question — How to determine that a service function is available?. On one end of the spectrum, one might argue that an SF is sufficiently available if the service node (physical or virtual) hosting the SF is available and is functional. On the other end of the spectrum, one might argue that the SF’s availability can only be concluded if the packet, after passing through the SF, was examined and it was verified that the packet did indeed get the got expected service.
The former approach will likely not provide sufficient confidence to the actual SF availability, i.e. a service node and a SF are two different entities. The latter approach is capable of providing an extensive verification, but comes at a cost. Some SFs make direct modifications to packets, while others do not. Additionally, the purpose of some SFs may be to, conditionally, drop packets intentionally. In such cases, it is normal behavior that certain packets will not be egressing out from the service function. The OAM mechanism needs to take into account such SF specifics when assessing SF availability. Note that there are many flavors of SFs available, and many more that are likely be introduced in future. Even a given SF may introduce a new functionality (e.g., a new signature in a firewall). The cost of this approach is that the OAM mechanism for some SF will need to be continuously modified in order to "keep up" with new functionality being introduced: lack of extendibility.

This framework document provides a RECOMMENDED framework where a generalized approach is taken to verify that a SF is sufficiently available (i.e., an adequate granularity to provide a basic SF service). More specifics on the mechanism to characterize SF-specific OAM to validate the service offering are outside the scope of this document. Those fine-grained mechanisms are implementation- and deployment-specific.

3.1.2. Service Function Performance Measurement

The second SFC OAM requirement for the SF component is to allow an SFC-aware network device to check the performance metrics such as loss and delay induced by a specific SF for processing legitimate traffic. The performance can be a passive measurement by using live traffic or can be active measurement by using synthetic probe packets.

On the one hand, the performance of any specific SF can be quantified by measuring the loss and delay metrics of the traffic from SFF to the respective SF, while on the other hand, the performance can be measured by leveraging the loss and delay metrics from the respective SFs. The latter requires SF involvement to perform the measurement while the former does not.

3.2. The Service Function Chain Component

3.2.1. Service Function Chain Availability

An SFC could be comprised of varying SFs and so the OAM layer is required to perform validation and verification of SFs within an SFP, in addition to connectivity verification and fault isolation.
In order to perform service connectivity verification of an SFC/SFP, the OAM functions could be initiated from any SFC-aware network devices of an SFC-enabled domain for end-to-end paths, or partial paths terminating on a specific SF, within the SFC/SFP. The goal of this OAM function is to ensure the SFs chained together have connectivity as was intended at the time when the SFC was established. The necessary return codes should be defined for sending back in the response to the OAM packet, in order to complete the verification.

When ECMP is in use at the service layer for any given SFC, there MUST be the ability to discover and traverse all available paths.

A detailed explanation of the mechanism is outside the scope of this document and is expected to be included in the actual solution document.

3.2.2. Service Function Chain Performance Measurement

Any SFC-aware network device SHOULD have the ability to make performance measurements over the entire SFC (i.e., end-to-end) or to a specific segment of SFs within the SFC.

3.3. The Classifier Component

A classifier maintains the classification rules that map a flow to a specific SFC. It is vital that the classifier is correctly configured with updated classification rules and is functioning as expected. The SFC OAM must be able to validate the classification rules by assessing whether a flow is appropriately mapped to the relevant SFC. Sample OAM packets can be presented to the classifiers to assess the behavior with regard to a given classification entry.

4. SFC OAM Functions

Section 3 described SFC OAM operations that are required on each SFC component. This section explores SFC OAM functions that are applicable for more than one SFC components.

The various SFC OAM requirements listed in Section 3 highlighted the need for various OAM functions at different layers. As listed in Section 5.1, various OAM functions are in existence that are defined to perform OAM functionality at different layers. In order to apply such OAM functions at the service layer, they need to be enhanced to operate a single SF/SFF to multiple SFs/SFFs in an SFC and also in multiple SFCs.
4.1. Connectivity Functions

Connectivity is mainly an on-demand function to verify that the connectivity exists between certain network elements and that the SFs are available. For example, LSP Ping is a common tool used to perform this function for an MPLS underlay network. OAM messages SHOULD be encapsulated with necessary SFC header and with OAM markings when testing the SFC component. OAM messages MAY be encapsulated with the necessary SFC header and with OAM markings when testing the SF component. Some of the OAM functions performed by connectivity functions are as follows:

- Verify the Path MTU from a source to the destination SF or through the SFC. This requires the ability for the OAM packet to be of variable length packet size.
- Verify any packet re-ordering and corruption.
- Verify the policy of an SFC or SF.
- Verification and validation of forwarding paths.
- Proactively test alternate or protected paths to ensure reliability of network configurations.

4.2. Continuity Functions

Continuity is a model where OAM messages are sent periodically to validate or verify the reachability to a given SF within an SFC or for the entire SFC. This allows a monitoring network device (such as the classifier or controller) to quickly detect failures such as link failures, network element failures, SF outages, or SFC outages. BFD [RFC5880] is one such function which helps in detecting failures quickly. OAM functions supported by continuity function are as follows:

- Ability to provision continuity check to a given SF within an SFC or for the entire SFC.
- Notifying the detected failures to other OAM functions or applications to take appropriate action.

4.3. Trace Functions

Tracing is an OAM function that allows the operation to trigger an action (e.g. response generation) from every transit device (e.g. SFF, SF, SFC Proxy) on the tested layer. This function is typically useful for gathering information from every transit devices or for
isolating the failure point to a specific SF within an SFC or for an entire SFC. Some of the OAM functions supported by trace functions are:

- Ability to trigger action from every transit device at the SFC layer, using TTL or other means.
- Ability to trigger every transit device at the SFC layer to generate a response with OAM code(s), using TTL or other means.
- Ability to discover and traverse ECMP paths within an SFC.
- Ability to skip SFs that do not support OAM while tracing SFs in an SFC.

4.4. Performance Management Function

Performance management functions involve measuring of packet loss, delay, delay variance, etc. These performance metrics may be measured pro-actively or on-demand.

SFC OAM should provide the ability to measure packet loss for an SFC. On-demand measurement can be used to estimate packet loss using statistical methods. Measuring the loss of OAM packets, an approximation of packet loss for a given SFC can be derived.

Delay within an SFC could be measured based on the time it takes for a packet to traverse the SFC from the ingress SFC node to the egress SFF. As SFCs are unidirectional in nature, measurement of one-way delay [RFC7679] is important. In order to measure one-way delay, time synchronization MUST be supported by means such as NTP, PTP, GPS, etc.

One-way delay variation [RFC3393] could also be calculated by sending OAM packets and measuring the jitter between the packets passing through an SFC.

Some of the OAM functions supported by the performance measurement functions are:

- Ability to measure the packet processing delay induced by a single SF or the one-way delay to traverse an SFP bound to a given SFC.
- Ability to measure the packet loss [RFC7680] within an SF or an SFP bound to a given SFC.
5. Gap Analysis

This section identifies various OAM functions available at different levels introduced in Section 2. It also identifies various gaps that exist within the current toolset for performing OAM functions required for SFC.

5.1. Existing OAM Functions

There are various OAM tool sets available to perform OAM functions within various layers. These OAM functions may be used to validate some of the underlay and overlay networks. Tools like ping and trace are in existence to perform connectivity check and tracing of intermediate hops in a network. These tools support different network types like IP, MPLS, TRILL, etc. There is also an effort to extend the tool set to provide connectivity and continuity checks within overlay networks. BFD is another tool which helps in detecting data forwarding failures. [RFC2330] and [RFC6374] defines the performance metrics measurement in IP and MPLS network respectively. [RFC8309] defines network and service orchestration function. Tables 3 and 4 are not exhaustive.

<table>
<thead>
<tr>
<th>Layer</th>
<th>Connectivity</th>
<th>Continuity</th>
<th>Trace</th>
<th>Performance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Underlay N/w</td>
<td>Ping</td>
<td>E-OAM, BFD</td>
<td>Trace</td>
<td>IPPM,</td>
</tr>
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<td></td>
<td></td>
<td></td>
<td>MPLS_PM</td>
</tr>
<tr>
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<td>Ping</td>
<td>BFD, NVo3 OAM</td>
<td>Trace</td>
<td>IPPM</td>
</tr>
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<td>+ None</td>
<td>+ None</td>
<td>+ None</td>
</tr>
<tr>
<td>SFC</td>
<td>None</td>
<td>+ None</td>
<td>+ None</td>
<td>+ None</td>
</tr>
</tbody>
</table>
5.2. Missing OAM Functions

As shown in Table 3, there are no standards-based tools available for the verifications of SFs and SFCs.

5.3. Required OAM Functions

Primary OAM functions exist for underlying layers. Tools like ping, trace, BFD, etc. exist in order to perform these OAM functions.

Configuration, orchestration and manageability of SF and SFC could be performed using CLI, NETCONF, etc.

As depicted in Tables 3 and 4, information and data models are needed for configuration, manageability and orchestration for SFC. With virtualized SF and SFC, manageability needs to be done programmatically.

6. Candidate SFC OAM Tools

This section describes the operational aspects of SFC OAM at the service layer to perform the SFC OAM function defined in Section 4 and analyzes the applicability of various existing OAM toolsets in the service layer.

6.1. SFC OAM Packet Marker

The SFC OAM function described in Section 4 performed at the service layer or overlay network layer must mark the packet as an OAM packet so that relevant nodes can differentiate an OAM packet from data packets. The base header defined in Section 2.2 of [RFC8300] assigns a bit to indicate OAM packets. When NSH encapsulation is used at the
service layer, the O bit must be set to differentiate the OAM packet. Any other overlay encapsulations used in future must have a way to mark the packet as OAM packet.

### 6.2. OAM Packet Processing and Forwarding Semantic

Upon receiving an OAM packet, SFC-aware SFs may choose to discard the packet if it does not support OAM functionality or if the local policy prevents them from processing the OAM packet. When an SF supports OAM functionality, it is desirable to process the packet and provide an appropriate response to allow end-to-end verification. To limit performance impact due to OAM, SFC-aware SFs should rate limit the number of OAM packets processed.

An SFF may choose not to forward the OAM packet to an SF if the SF does not support OAM or if the policy does not allow to forward OAM packet to an SF. The SFF may choose to skip the SF, modify the header and forward to next SFC node in the chain. It should be noted that skipping an SF might have implication on some OAM functions (e.g. the delay measurement may not be accurate). The method by which an SFF detects if the connected SF supports or is allowed to process OAM packets is outside the scope of this document. It could be a configuration parameter instructed by the controller or it can be done by dynamic negotiation between the SF and SFF.

If the SFF receiving the OAM packet bound to a given SFC is the last SFF in the chain, it must send a relevant response to the initiator of the OAM packet. Depending on the type of OAM solution and tool set used, the response could be a simple response (such as ICMP reply) or could include additional data from the received OAM packet (like statistical data consolidated along the path). The details are expected to be covered in the solution documents.

Any SFC-aware node that initiates an OAM packet must set the OAM marker in the overlay encapsulation.

### 6.3. OAM Function Types

As described in Section 4, there are different OAM functions that may require different OAM solutions. While the presence of the OAM marker in the overlay header (e.g., O bit in the NSH header) indicates it as OAM packet, it is not sufficient to indicate what OAM function the packet is intended for. The Next Protocol field in NSH header may be used to indicate what OAM function is intended to or what toolset is used.
6.4. OAM Toolset applicability

As described in Section 5.1, there are different tool sets available to perform OAM functions at different layers. This section describes the applicability of some of the available toolsets in the service layer.

6.4.1. ICMP Applicability

[RFC0792] and [RFC4443] describes the use of ICMP in IPv4 and IPv6 network respectively. It explains how ICMP messages can be used to test the network reachability between different end points and perform basic network diagnostics.

ICMP could be leveraged for connectivity function (defined in Section 4.1) to verify the availability of SF or SFC. The Initiator can generate an ICMP echo request message and control the service layer encapsulation header to get the response from relevant node. For example, a classifier initiating OAM can generate ICMP echo request message, can set the TTL field in NSH header to 255 to get the response from last SFF and thereby test the SFC availability. Alternately, the initiator can set the TTL to some other value to get the response from a specific SFs and thereby test partial SFC availability. Alternately, the initiator could send OAM packets with sequentially incrementing the TTL in the NSH to trace the SFP.

It could be observed that ICMP at its current stage may not be able to perform all required SFC OAM functions, but as explained above, it can be used for basic OAM functions.

6.4.2. BFD/Seamless-BFD Applicability


BFD or S-BFD could be leveraged to perform SF or SFC availability. An initiator could generate a BFD control packet and set the "Your Discriminator" value as last SFF in the control packet. Upon receiving the control packet, the last SFF in the SFC will reply back with relevant DIAG code. The TTL field in the NSH header could be used to perform partial SFC availability. For example, the initiator can set the "Your Discriminator" value to the SF that is intended to be tested and set the TTL field in NSH header in a way that it expire at the relevant SF. How the initiator gets the Discriminator value of the SF is outside the scope of this document.
6.4.3. In-Situ OAM

[I-D.ietf-sfc-proof-of-transit] defines a mechanism to perform proof of transit to securely verify if a packet traversed the relevant SFP or SFC. While the mechanism is defined inband (i.e., it will be included in data packets), it may be used to perform various SFC OAM functions as well.

In-Situ OAM could be used with O bit set to perform SF availability and SFC availability or performance measurement.

6.4.4. SFC Traceroute

[I-D.penno-sfc-trace] defines a protocol that checks for path liveness and traces the service hops in any SFP. Section 3 of [I-D.penno-sfc-trace] defines the SFC trace packet format while Sections 4 and 5 of [I-D.penno-sfc-trace] defines the behavior of SF and SFF respectively.

An initiator can control the Service Index Limit (SIL) in SFC trace packet to perform SF and SFC availability test.

7. Security Considerations

Any security consideration defined in [RFC7665] and [RFC8300] are applicable for this document.

The OAM information from service layer at different components may collectively or independently reveal sensitive information. The information may reveal the type of service functions hosted in the network, the classification rules and the associated service chains, specific service function paths etc. The sensitivity of the information from SFC layer raises a need for careful security considerations

The mapping and the rules information at the classifier component may reveal the traffic rules and the traffic mapped to the SFC. The SFC information collected at an SFC component may reveal the SF associated within each chain and this information together with classifier rules may be used to manipulate the header of synthetic attack packets that may be used to bypass the SFC and trigger any internal attacks.

The SF information at the SF component may be used by a malicious user to trigger Denial of Service (DoS) attack by overloading any specific SF using rogue OAM traffic.
To address the above concerns, SFC and SF OAM may provide mechanism for:

- Misuse of the OAM channel for denial-of-services,
- Leakage of OAM packets across SFC instances, and
- Leakage of SFC information beyond the SFC domain.

The documents proposing the OAM solution for SF component should consider rate-limiting the OAM probes at a frequency guided by the implementation choice. Rate-limiting may be applied at the SFF or the SF. The OAM initiator may not receive a response for the probes that are rate-limited resulting in false negatives and the implementation should be aware of this.

The documents proposing the OAM solution for any service layer components should consider some form of message filtering to prevent leaking any internal service layer information outside the administrative domain.

8. IANA Considerations

No action is required by IANA for this document.

9. Acknowledgements

We would like to thank Mohamed Boucadair, Adrian Farrel, and Greg Mirsky for their review and comments.

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10.1. Normative References


10.2. Informative References

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