HTTP Transport for Trusted Execution Environment Provisioning: Agent-to-TAM Communication
draft-ietf-teep-otrp-over-http-02

Abstract

The Open Trust Protocol (OTrP) is used to manage code and configuration data in a Trusted Execution Environment (TEE). This document specifies the HTTP transport for OTrP communication where a Trusted Application Manager (TAM) service is used to manage TEEs in devices that can initiate communication to the TAM. An implementation of this document can (if desired) run outside of any TEE, but interacts with an OTrP implementation that runs inside a TEE.

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Table of Contents

1. Introduction ............................................. 2
2. Terminology ............................................. 4
3. TEEP Broker Models ...................................... 4
   3.1. Use of Abstract APIs ................................. 5
4. Use of HTTP as a Transport .............................. 6
5. OTrP/HTTP Client Behavior .............................. 7
   5.1. Receiving a request to install a new Trusted Application 7
      5.1.1. Session Creation ................................ 7
   5.2. Getting a message buffer back from an OTrP implementation 8
   5.3. Receiving an HTTP response ........................... 8
   5.4. Handling checks for policy changes .................. 9
   5.5. Error handling ....................................... 9
6. OTrP/HTTP Server Behavior .............................. 10
   6.1. Receiving an HTTP POST request ...................... 10
   6.2. Getting an empty buffer back from the OTrP implementation 10
   6.3. Getting a message buffer from the OTrP implementation .. 10
   6.4. Error handling ...................................... 10
7. Sample message flow ...................................... 10
8. Security Considerations ................................... 12
9. IANA Considerations ...................................... 12
10. References ............................................ 13
   10.1. Normative References ............................... 13
   10.2. Informative References ............................. 13
Author’s Address ........................................... 14

1. Introduction

Trusted Execution Environments (TEEs), including environments based on Intel SGX, ARM TrustZone, Secure Elements, and others, enforce that only authorized code can execute within the TEE, and any memory used by such code is protected against tampering or disclosure outside the TEE. The Open Trust Protocol (OTrP) is designed to provision authorized code and configuration into TEEs.

To be secure against malware, an OTrP implementation (referred to as a TEEP "Agent" on the client side, and a "Trusted Application Manager (TAM)" on the server side) must themselves run inside a TEE. However, the transport for OTrP, along with the underlying TCP/IP stack, does not necessarily run inside a TEE. This split allows the set of highly trusted code to be kept as small as possible, including
allowing code (e.g., TCP/IP) that only sees encrypted messages to be kept out of the TEE.

The OTrP specification ([I-D.ietf-teep-opentrustprotocol] or [I-D.tschofenig-teep-otr-p-v2]) describes the behavior of TEEP Agents and TAMs, but does not specify the details of the transport. The purpose of this document is to provide such details. That is, an OTrP over HTTP (OTrP/HTTP) implementation delivers messages up to an OTrP implementation, and accepts messages from the OTrP implementation to be sent over a network. The OTrP over HTTP implementation can be implemented either outside a TEE (i.e., in a TEEP "Broker") or inside a TEE.

There are two topological scenarios in which OTrP could be deployed:

1. TAMs are reachable on the Internet, and Agents are on networks that might be behind a firewall, so that communication must be initiated by an Agent. Thus, the Agent has an HTTP Client and the TAM has an HTTP Server.

2. Agents are reachable on the Internet, and TAMs are on networks that might be behind a firewall, so that communication must be initiated by a TAM. Thus, the Agent has an HTTP Server and the TAM has an HTTP Client.

The remainder of this document focuses primarily on the first scenario as depicted in Figure 1, but some sections (Section 4 and Section 8) may apply to the second scenario as well. A fuller discussion of the second scenario may be handled by a separate document.

```
+------------------+           OTrP           +------------------+
     |  TEEP Agent    | <----------------------> | TAM          |
+------------------+                          +------------------+

+------------------+      OTrP over HTTP      +------------------+
     | OTrP/HTTP Client | <----------------------> | OTrP/HTTP Server |
+------------------+                          +------------------+

+------------------+           HTTP           +------------------+
     |  HTTP Client    | <----------------------> | HTTP Server |
+------------------+                          +------------------+

Figure 1: Agent-to-TAM Communication
```
2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

This document also uses various terms defined in [I-D.ietf-teep-architecture], including Trusted Execution Environment (TEE), Trusted Application (TA), Trusted Application Manager (TAM), TEEP Agent, TEEP Broker, and Rich Execution Environment (REE).

3. TEEP Broker Models

Section 6 of the TEEP architecture [I-D.ietf-teep-architecture] defines a TEEP "Broker" as being a component on the device, but outside the TEE, that facilitates communication with a TAM. As depicted in Figure 2, there are multiple ways in which this can be implemented, with more or fewer layers being inside the TEE. For example, in model A, the model with the smallest TEE footprint, only the OTrP implementation is inside the TEE, whereas the OTrP/HTTP implementation is in the TEEP Broker outside the TEE.
Model: A B C ...

<table>
<thead>
<tr>
<th>TEE</th>
<th>TEE</th>
<th>TEE</th>
</tr>
</thead>
<tbody>
<tr>
<td>OTrP</td>
<td>Agent</td>
<td>Agent</td>
</tr>
<tr>
<td>implementation</td>
<td></td>
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<tr>
<td>+----------------+</td>
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</tr>
<tr>
<td></td>
<td>OTrP/HTTP</td>
<td>Broker</td>
</tr>
<tr>
<td></td>
<td>implementation</td>
<td></td>
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<td>+----------------+</td>
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<td></td>
<td>HTTP</td>
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<tr>
<td></td>
<td>implementation</td>
<td></td>
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<tr>
<td>+----------------+</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>TCP or QUIC</td>
<td>Broker</td>
</tr>
<tr>
<td></td>
<td>implementation</td>
<td></td>
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<tr>
<td>+----------------+</td>
<td></td>
<td></td>
</tr>
<tr>
<td>REE</td>
<td>REE</td>
<td>REE</td>
</tr>
</tbody>
</table>

Figure 2: TEEP Broker Models

In other models, additional layers are moved into the TEE, increasing the TEE footprint, with the Broker either containing or calling the topmost protocol layer outside of the TEE. An implementation is free to choose any of these models, although model A is the one we will use in our examples.

Passing information from an REE component to a TEE component is typically spoken of as being passed "in" to the TEE, and information passed in the opposite direction is spoken of as being passed "out". In the protocol layering sense, information is typically spoken of as being passed "up" or "down" the stack. Since the layer at which information is passed in/out may vary by implementation, we will generally use "up" and "down" in this document.

3.1. Use of Abstract APIs

This document refers to various APIs between an OTrP implementation and an OTrP/HTTP implementation in the abstract, meaning the literal syntax and programming language are not specified, so that various concrete APIs can be designed (outside of the IETF) that are compliant.
Some TEE architectures (e.g., SGX) may support API calls both into and out of a TEE. In other TEE architectures, there may be no calls out from a TEE, but merely data returned from calls into a TEE. This document attempts to be agnostic as to the concrete API architecture for Broker/Agent communication. Since in model A, the Broker/Agent communication is done at the layer between the OTrP and OTrP/HTTP implementations, and there may be some architectures that do not support calls out of the TEE (which would be downcalls from OTrP in model A), we will refer to passing information up to the OTrP implementation as API calls, but will simply refer to "passing data" back down from an OTrP implementation. A concrete API might pass data back via an API downcall or via data returned from an API upcall.

This document will also refer to passing "no" data back out of an OTrP implementation. In a concrete API, this might be implemented by not making any downcall, or by returning 0 bytes from an upcall, for example.

4. Use of HTTP as a Transport

This document uses HTTP [I-D.ietf-httpbis-semantics] as a transport. When not called out explicitly in this document, all implementation recommendations in [I-D.ietf-httpbis-bcp56bis] apply to use of HTTP by OTrP.

Redirects MAY be automatically followed, and no additional request headers beyond those specified by HTTP need be modified or removed upon a following such a redirect.

Content is not intended to be treated as active by browsers and so HTTP responses with content SHOULD have the following headers as explained in Section 4.12 of [I-D.ietf-httpbis-bcp56bis] (replacing the content type with the relevant OTrP content type per the OTrP specification):

- Content-Type: <content type>
- Cache-Control: no-store
- X-Content-Type-Options: nosniff
- Content-Security-Policy: default-src ‘none’
- Referrer-Policy: no-referrer

Only the POST method is specified for TAM resources exposed over HTTP. A URI of such a resource is referred to as a "TAM URI". A TAM URI can be any HTTP(S) URI. The URI to use is configured in a TEEP Agent via an out-of-band mechanism, as discussed in the next section.
When HTTPS is used, TLS certificates MUST be checked according to [RFC2818].

5. OTrP/HTTP Client Behavior

5.1. Receiving a request to install a new Trusted Application

In some environments, an application installer can determine (e.g., from an app manifest) that the application being installed or updated has a dependency on a given Trusted Application (TA) being available in a given type of TEE. In such a case, it will notify a TEEP Broker, where the notification will contain the following:

- A unique identifier of the TA
- Optionally, any metadata to provide to the OTrP implementation. This might include a TAM URI provided in the application manifest, for example.
- Optionally, any requirements that may affect the choice of TEE, if multiple are available to the TEEP Broker.

When a TEEP Broker receives such a notification, it first identifies in an implementation-dependent way which TEE (if any) is most appropriate based on the constraints expressed. If there is only one TEE, the choice is obvious. Otherwise, the choice might be based on factors such as capabilities of available TEE(s) compared with TEE requirements in the notification. Once the TEEP Broker picks a TEE, it passes the notification to the OTrP/HTTP Client for that TEE.

The OTrP/HTTP Client then informs the OTrP implementation in that TEE by invoking an appropriate "RequestTA" API that identifies the TA needed and any other associated metadata. The OTrP/HTTP Client need not know whether the TEE already has such a TA installed or whether it is up to date.

The OTrP implementation will either (a) pass no data back, (b) pass back a TAM URI to connect to, or (c) pass back a message buffer and TAM URI to send it to. The TAM URI passed back may or may not be the same as the TAM URI, if any, provided by the OTrP/HTTP Client, depending on the OTrP implementation’s configuration. If they differ, the OTrP/HTTP Client MUST use the TAM URI passed back.

5.1.1. Session Creation

If no data is passed back, the OTrP/HTTP Client simply informs its caller (e.g., the application installer) of success.
If the OTrP implementation passes back a TAM URI with no message buffer, the OTrP/HTTP Client attempts to create session state, then sends an HTTP(S) POST to the TAM URI with an Accept header and an empty body. The HTTP request is then associated with the OTrP/HTTP Client’s session state.

If the OTrP implementation instead passes back a TAM URI with a message buffer, the OTrP/HTTP Client attempts to create session state and handles the message buffer as specified in Section 5.2.

Session state consists of:
- Any context (e.g., a handle) that identifies the API session with the OTrP implementation.
- Any context that identifies an HTTP request, if one is outstanding. Initially, none exists.

5.2. Getting a message buffer back from an OTrP implementation

When an OTrP implementation passes a message buffer (and TAM URI) to an OTrP/HTTP Client, the OTrP/HTTP Client MUST do the following, using the OTrP/HTTP Client’s session state associated with its API call to the OTrP implementation.

The OTrP/HTTP Client sends an HTTP POST request to the TAM URI with Accept and Content-Type headers with the OTrP media type in use, and a body containing the OTrP message buffer provided by the OTrP implementation. The HTTP request is then associated with the OTrP/HTTP Client’s session state.

5.3. Receiving an HTTP response

When an HTTP response is received in response to a request associated with a given session state, the OTrP/HTTP Client MUST do the following.

If the HTTP response body is empty, the OTrP/HTTP Client’s task is complete, and it can delete its session state, and its task is done.

If instead the HTTP response body is not empty, the OTrP/HTTP Client calls a "ProcessOTrPMessage" API (Section 6.2 of [I-D.ietf-teep-opentrustprotocol]) to pass the response body up to the OTrP implementation associated with the session. The OTrP implementation will then either pass no data back, or pass back a message buffer.
If no data is passed back, the OTrP/HTTP Client’s task is complete, and it can delete its session state, and inform its caller (e.g., the application installer) of success.

If instead the OTrP implementation passes back a message buffer, the OTrP/HTTP Client handles the message buffer as specified in Section 5.2.

5.4. Handling checks for policy changes

An implementation MUST provide a way to periodically check for OTrP policy changes. This can be done in any implementation-specific manner, such as:

A) The OTrP/HTTP Client might call up to the OTrP implementation at an interval previously specified by the OTrP implementation. This approach requires that the OTrP/HTTP Client be capable of running a periodic timer.

B) The OTrP/HTTP Client might be informed when an existing TA is invoked, and call up to the OTrP implementation if more time has passed than was previously specified by the OTrP implementation. This approach allows the device to go to sleep for a potentially long period of time.

C) The OTrP/HTTP Client might be informed when any attestation attempt determines that the device is out of compliance, and call up to the OTrP implementation to remediate.

The OTrP/HTTP Client informs the OTrP implementation by invoking an appropriate "RequestPolicyCheck" API. The OTrP implementation will either (a) pass no data back, (b) pass back a TAM URI to connect to, or (c) pass back a message buffer and TAM URI to send it to. Processing then continues as specified in Section 5.1.1.

5.5. Error handling

If any local error occurs where the OTrP/HTTP Client cannot get a message buffer (empty or not) back from the OTrP implementation, the OTrP/HTTP Client deletes its session state, and informs its caller (e.g., the application installer) of a failure.

If any HTTP request results in an HTTP error response or a lower layer error (e.g., network unreachable), the OTrP/HTTP Client calls the OTrP implementation’s "ProcessError" API, and then deletes its session state and informs its caller of a failure.
6. OTrP/HTTP Server Behavior

6.1. Receiving an HTTP POST request

When an HTTP POST request is received with an empty body, the OTrP/HTTP Server invokes the TAM’s "ProcessConnect" API. The TAM will then pass back a (possibly empty) message buffer.

When an HTTP POST request is received with a non-empty body, the OTrP/HTTP Server calls the TAM’s "ProcessOTrPMessage" API to pass it the request body. The TAM will then pass back a (possibly empty) message buffer.

6.2. Getting an empty buffer back from the OTrP implementation

If the OTrP implementation passes back an empty buffer, the OTrP/HTTP Server sends a successful (2xx) response with no body.

6.3. Getting a message buffer from the OTrP implementation

If the OTrP implementation passes back a non-empty buffer, the OTrP/HTTP Server generates a successful (2xx) response with a Content-Type header with the OTrP media type in use, and with the message buffer as the body.

6.4. Error handling

If any error occurs where the OTrP/HTTP Server cannot get a message buffer (empty or not) back from the OTrP implementation, the OTrP/HTTP Server generates an appropriate HTTP error response.

7. Sample message flow

The following shows a sample OTrP message flow that uses application/otrp+json as the Content-Type.

1. An application installer determines (e.g., from an app manifest) that the application has a dependency on TA "X", and passes this notification to the TEEP Broker. The TEEP Broker picks a TEE (e.g., the only one available) based on this notification, and passes the information to the OTrP/HTTP Client for that TEE.

2. The OTrP/HTTP Client calls the OTrP implementation’s "RequestTA" API, passing TA Needed = X.

3. The OTrP implementation finds that no such TA is already installed, but that it can be obtained from a given TAM. The TEEP Agent passes the TAM URI (e.g., "https://example.com/tam")
to the OTrP/HTTP Client. (If the OTrP implementation already had a cached TAM certificate that it trusts, it could skip to step 9 instead and generate a GetDeviceStateResponse.)

4. The OTrP/HTTP Client sends an HTTP POST request to the TAM URI:

   POST /tam HTTP/1.1
   Host: example.com
   Accept: application/otrp+json
   Content-Length: 0
   User-Agent: Foo/1.0

5. On the TAM side, the OTrP/HTTP Server receives the HTTP POST request, and calls the OTrP implementation’s "ProcessConnect" API.

6. The OTrP implementation generates an OTrP message (where typically GetDeviceStateRequest is the first message) and passes it to the OTrP/HTTP Server.

7. The OTrP/HTTP Server sends an HTTP successful response with the OTrP message in the body:

   HTTP/1.1 200 OK
   Content-Type: application/otrp+json
   Content-Length: [length of OTrP message here]
   Server: Bar/2.2
   Cache-Control: no-store
   X-Content-Type-Options: nosniff
   Content-Security-Policy: default-src ‘none’
   Referrer-Policy: no-referrer

   [OTrP message here]

8. Back on the TEEP Agent side, the OTrP/HTTP Client gets the HTTP response, extracts the OTrP message and calls the OTrP implementation’s "ProcessOTrPMessage" API to pass it the message.

9. The OTrP implementation processes the OTrP message, and generates an OTrP response (e.g., GetDeviceStateResponse) which it passes back to the OTrP/HTTP Client.
10. The OTrP/HTTP Client gets the OTrP message buffer and sends an HTTP POST request to the TAM URI, with the OTrP message in the body:

```
POST /tam HTTP/1.1
Host: example.com
Accept: application/otr+json
Content-Type: application/otr+json
Content-Length: [length of OTrP message here]
User-Agent: Foo/1.0

[OTrP message here]
```

11. The OTrP/HTTP Server receives the HTTP POST request, and calls the OTrP implementation’s "ProcessOTrPMessage" API.

12. Steps 6-11 are then repeated until the OTrP implementation passes no data back to the OTrP/HTTP Server in step 6.

13. The OTrP/HTTP Server sends an HTTP successful response with no body:

```
HTTP/1.1 204 No Content
Server: Bar/2.2
```

14. The OTrP/HTTP Client deletes its session state.

8. Security Considerations

Although OTrP is protected end-to-end inside of HTTP, there is still value in using HTTPS for transport, since HTTPS can provide additional protections as discussed in Section 6 of [I-D.ietf-httpbis-bcp56bis]. As such, OTrP/HTTP implementations MUST support HTTPS. The choice of HTTP vs HTTPS at runtime is up to policy, where an administrator configures the TAM URI to be used, but it is expected that real deployments will always use HTTPS TAM URIs.

9. IANA Considerations

This document has no actions for IANA.
10. References

10.1. Normative References

[I-D.ietf-httpbis-semantics]

[I-D.ietf-teep-opentrustprotocol]

[I-D.tschofenig-teep-otrp-v2]
Pei, M., Tschofenig, H., and D. Wheeler, "The Open Trust Protocol (OTrP) v2", draft-tschofenig-teep-otrp-v2-00 (work in progress), July 2019.


10.2. Informative References

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Nottingham, M., "Building Protocols with HTTP", draft-ietf-httpbis-bcp56bis-08 (work in progress), November 2018.

[I-D.ietf-teep-architecture]
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