An XML format for mail and other messages
<draft-klyne-message-rfc822-xml-03.txt>

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Abstract

This document describes a coding of email and other messages in XML. This coding is intended for use by XML applications that exchange information about such messages.

Discussion of this document

Send comments to <ietf-message-xml@research.mimesweeper.com>. To subscribe to this list, send a message with the body ‘subscribe’ to <ietf-message-xml-request@research.mimesweeper.com>.
XML coding of RFC822 messages                             9 April 2002
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1. Introduction

This document describes a coding of email and similar messages (such as RFC822 [1]) using XML [2], described here as the Email+XML message format.

The present document is presented as a design that can be used by XML applications that deal with email and similar messages.

The XML coding is designed to address the following goals:

- to fully capture the semantics of Internet email messages, per RFC822 [1]. However it is not intended to provide a loss-less coding of RFC822 syntax.
- to extend the scope of address information that can be conveyed to arbitrary URIs [3].
- to take account of 8-bit clean transfer environments.
- to fully support, where applicable, international character sets and languages within the message header and content [4,5].
- to allow header information to be compatible with RDF format [10], for use by generalized metadata processing applications.

1.1 Structure of this document

Section 2 describes the overall message structure, showing how the message header and message content can be conveyed in MIME and XML transfer environments.

Section 3 describes the message header in greater detail, with particular reference to differences in the value of individual fields compared their RFC822 counterparts.

Section 4 discusses issues that may arise when converting between traditional RFC822 and the Email+XML message format described here.

Appendix A contains a MIME content-type registration for Message/Email+XML.
Appendix B contains a DTD for the Email+XML message format.

Appendix C contains an XML schema for the Email+XML message format. (XML schema are set to replace DTDs are the preferred way to describe XML document content.)

Appendix D briefly discusses the RDF representation [10] and its applicability to the Email+XML message format.

Appendix E contains an RDF schema [23] description for the Email+XML message format.

1.2 Document terminology and conventions

Message   an assemblage of information that constitutes a communication of information from a sender to one or more recipients. Consists of a message header and message content.

Message header contains information about the message that is conveyed between message user agents, and not used by the message transfer mechanisms. This may include who the message is from, who it is addressed to, other parties to whom it has been copied, subject of the message, date the message was composed, etc.

Message content some arbitrary data carried in a message.

Email+XML is the message format defined by this document. (This name uses the XML content type labelling convention [11].)

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [19].

NOTE: Comments like this provide additional nonessential information about the rationale behind this document. Such information is not needed for building a conformant implementation, but may help those who wish to understand the design in greater depth.

[[[Editorial comments and questions about outstanding issues are provided in triple brackets like this. These working comments should be resolved and removed prior to final publication.]]]
1.3 About MIME and XML

There has been much discussion about the relative merits of MIME and XML. The position of this document is that they serve different purposes, and are complementary rather than alternatives.

MIME is a framework primarily for encapsulating and composing arbitrary data entities, and offers the following capabilities:

- Content type labelling.
- Transfer encoding for handling arbitrary data on restricted channels.
- Assembly of different kinds of data into composite entities.
- End of data detection without need to parse or understand the data content.

XML is a framework primarily for describing data structures, including semi-structured document data, and offers the following capabilities:

- Construction of arbitrary data structures based on an annotated tree model.
- Fine-grained labelling of structure components and data attributes.
- Cross-linking between data structure components.
- A standard format for interchange of structured information between diverse systems.

There is, of course, some overlap in capabilities, and reasonable people may disagree about the appropriateness of using MIME and/or XML in particular circumstances.

This document is predicated on the idea that XML is a useful mechanism (in addition to existing facilities) for structuring message header information. It aims to be agnostic with regard to using MIME or some other framework for composing and encapsulating messages.
2. Message structures

A message consists of a message header and message content:

- The message header contains information about the message: who it was sent by, who it is addressed to, its subject, date it was sent, and many other related pieces of information.

- The message content is any data that is carried by the message: e.g. a text message, fax image, voice message or arbitrary application data. In principle, any data that can be transferred as a MIME object can be message content, though specific applications may limit the kinds of data that can be transferred.

The Email+XML message format uses a URI-reference in the message header to reference the message content. Thus, the message content may be completely separate from the message header; the message header is the root information of a message, from which message content may be discovered.

Two specific message structure scenarios are contemplated here:

- Multipart/related, and

- An XML element within the message header.

These are described below. Other message structures are possible (e.g. multiple resources on a web server, multiple channels in a multiplexed protocol), but are not described here.

2.1 Message header overview

The message header is an XML document whose root element is <Message>. This contains a number of elements; an initial set of such elements is defined based on RFC822 message headers.

The message content is indicated by an attribute of the <Message> element whose value is a URI-reference for the content.

The message header is discussed in greater detail in section 3 below.
2.2 Multipart/related message structure

A message whose content is formatted as a MIME object [6] may be
sent as a Multipart/related object [15]:

```
Content-type: multipart/related; boundary="boundary";
    start="<1@100Aker.org>";
    type="message/Email+XML"

--boundary
Content-type: Message/Email+XML
Content-ID: <1@100Aker.org>
<emx:Message
    xmlns:emx='urn:ietf:params:email-xml:'
    xmlns:rfc822='urn:ietf:params:rfc822:'
    emx:content='cid:2@100Aker.org'>
    <rfc822:from>
        <emx:Address>
            <emx:adrs>mailto:Pooh@PoohCorner.100Aker.org</emx:adrs>
            <emx:name>Winnie the Pooh</emx:name>
        </emx:Address>
    </rfc822:from>
    <rfc822:to>
        <emx:Address>
            <emx:adrs>mailto:Piglet@BeechTree.100Aker.org</emx:adrs>
            <emx:name>MR SANDERS</emx:name>
        </emx:Address>
    </rfc822:to>
    <rfc822:subject>Woozle Hunting</rfc822:subject>
</emx:Message>

I have Been Foolish and Deluded
I am a Bear of No Brain at All

--boundary

In this case, the Multipart/related contains two MIME parts:

- the message header, and
- the message content.
The Multipart/related content-type header indicates the root of the message by its Content-ID value [6]. In turn, the message header refers to the message content with a <Message> element `content=` attribute whose value is a `cid:` URI [16].

2.3 Inline XML message structure

When the message content can be expressed as simple text or XML, it may be included within the message header using a <content> element containing the message content instead of a `content=` attribute.

```
    Content-type: Message/Email+XML

    <emx:Message
        xmlns:emx='URN:ietf:params:email-xml:'
        xmlns:rfc822='URN:ietf:params:rfc822:'>
        <rfc822:from>
            <emx:Address>
                <emx:adrs>mailto:Christopher.Robin@GreenDoor.org</emx:adrs>
                <emx:name>Christopher Robin</emx:name>
            </emx:Address>
        </rfc822:from>
        <rfc822:to>
            <emx:Address>
                <emx:adrs>mailto:Pooh@PoohCorner.100Aker.org</emx:adrs>
                <emx:name>Winnie the Pooh</emx:name>
            </emx:Address>
        </rfc822:to>
        <rfc822:subject>Re: Woozle hunting</rfc822:subject>
        <emx:content type='text/plain'>
            You’re the Best Bear in All the World
        </emx:content>
    </emx:Message>
```

This example shows the message contained within a single Message/Email+XML MIME object.

The <content> element indicates the message content. When present, this element MUST be the last element contained in a <Message> element.

2.4 Content type Message/Email+XML

This specification defines a new MIME content-type called Message/Email+XML.
A Message/Email+XML entity contains an XML document conforming to the DTD known by the SYSTEM identifier ’urn:ietf:params:xml:dtd:email-xml:’, per [24]. The document may contain <?XML?> and <!DOCTYPE> declarations, but these are not required.

The body of the document is a <Message> element, as described below.

The character set encoding used in a Message/Email+XML entity is UTF-8.

A Content-type registration template for Message/Email+XML is contained in Appendix A of this document.

3. Message header

The Email+XML message header contains header fields based on RFC822, and coded in XML.

The message header contains information about the message that is conveyed between message user agents, and not used by the message transfer mechanisms. This may include who the message is from, who it is addressed to, other parties to whom it has been copied, subject of the message, date the message was composed, etc.

The message header also contains a reference to the message content, as described in the previous section.

3.1 The <Message> element

The <Message> element contains the message header, and references the message content.

Possible attributes are:

- ‘xmlns=’ or ‘xmlns:tag=’ is used to indicate a default XML namespace or XML namespace tag [9] that applies to the entire <Message> element.

- ‘content=’ specifies a URI-reference [3] that references the message content, if such content is not contained inline in a ‘<content>’ element. Typically, the value is a ‘cid:’ URI as described in the previous section. Other message content URI values are possible, but such use is beyond the scope of this specification.
3.2 Content of <Message> element

The content of a <Message> element is:

- a sequence of zero or more header field elements, and
- an optional <content> element.

Header field elements may appear in any order. When present, the <content> element MUST be the last one in the <Message>.

The <Message> element MUST contain either a 'content=' attribute or a single <content> element. It must not contain both.

3.3 Use of XML namespaces

The <Message> element, <Address> and related element names, the <content> element and <Message-content> element names name are all associated with a namespace called 'URN:ietf:params:email-xml:'. RFC822 header element names are associated with a namespace called 'URN:IANA:namespace:rfc822:'. (These namespace identifiers are based on "A URN Sub-namespace for Registered Protocol Parameters" [20].)

The namespaces must be declared, either as a default namespace or using a namespace prefix (which is an arbitrary local name). The namespace declaration may appear as an attribute of the <Message> element, or in the surrounding XML context.
The message examples in section 2 use namespace prefixes 'emx:' and 'rfc822', but any prefix could be used here. Here is a different message example using a default namespace rather than a namespace prefix for the non-RFC822-derived names:

```
Content-type: Message/Email+XML

<Message
    xmlns='URN:ietf:params:email-xml:'
    xmlns:rfc822='URN:ietf:params:rfc822:'>
    <rfc822:from>
        <Address>
            <adrs>im:Eeyore@ThistlyCorner.100Aker.org</adrs>
            <name>Eeyore</name>
        </Address>
    </rfc822:from>
    <rfc822:to>
        <Group>
            <name>Anyone</name>
        </Group>
    </rfc822:to>
    <rfc822:subject>Why?</rfc822:subject>
    <content type='text/plain'>
        Wherefore?
        Inasmuch as which?
    </content>
</Message>
```

3.4 The <content> element

The <content> element is used to include the message content as text or XML data in the message header. It is present when the <Message> element does not have a ‘content=’ attribute.

Possible <content> attributes are:

- ‘type=’ is optional, and indicates the MIME content-type of the message content. If not specified, a content type of "text/xml" is assumed.
  
  (Whatever MIME content-type may be declared, the message content must be well-formed XML or character data. In practice, this means the content must be some character-based data representation.)

- ‘xml:lang=’ [2] may be used, in which case it specifies the language of the message content.
The character encoding for the message content is the same as that used for the surrounding XML. This is typically UTF-8, from the character set encoding of the MIME content-type Message/Email+XML.

The message content may be any well-formed XML, which includes simple character data. Characters ‘<’ and ‘&’ that are not part of XML markup MUST be represented as ‘&lt;’ and ‘&amp;’ respectively. The character ‘>’ appearing in the sequence ‘]]>’, other than at the end of a CDATA section, MUST be represented as ‘&gt;’.

3.5 General form of header field elements

Each header field is represented by an XML element that identifies the field.

The element content is the header field value. For RFC822 and MIME header fields, the field value is character data in which the characters ‘<’, ‘&’ and ‘>’ are represented as for character data in <Message-content> (see above).

3.6 RFC822-derived header elements

For representing information about email messages, this specification introduces message header elements with names and semantics based on RFC822 header fields [1]. The intent is that the semantics of any RFC822 header field is easily represented in an Email+XML header element; it is not a goal to capture the detailed syntax of any particular RFC822 message, or to construct a corresponding RFC822 message from any Email+XML message.

RFC822-derived header elements have names based on RFC822 header names, using all lower-case characters (noting that XML element names are case sensitive).

RFC822-derived header elements are associated with an XML namespace, as noted above at section 3.3, and may need to be combined with a namespace prefix if it is not the default namespace. (See examples in sections 2.2 and 2.3.)

RFC822-derived header element contents have the same syntax and meaning as corresponding RFC822 header field values, except that:

- Characters are not limited to US-ASCII. UTF-8 character set encoding is typically used.

- Encoded words ('=?...?=') are not needed, and no special processing is defined for sequences of this form.
o Special considerations apply to fields containing address values (from, to, etc.) -- see section below.

o Special considerations apply to fields containing human-readable text values (subject, comments, etc.) -- see section below.

3.7 Header fields containing addresses

Parts of an RFC822 address value are separated out into separate elements, all contained within an <Address> element. The element types defined here are <adrs> and <name>.

A major change from RFC822 is that all addresses are presented as URIs, rather than as RFC822 'addr-spec' values. Email addresses (the only kind that appear in RFC822 headers) are expressed as 'mailto:' URLs [21]. Address URIs are enclosed in an <adrs> element.

This change anticipates that XML-based message headers may be used with a variety of different protocols with different addressing schemes.

Finally, only one address per message header element is allowed (or an address group: see below). Where permitted, multiple values are represented by repeating the header element for each value.

Note that characters in URIs are drawn from a limited repertoire; the URI '%' escape sequence may be used to represent other characters that are legal for the URI scheme used [14].

The RFC822 address structures using 'phrase' are supported. The 'phrase' is a "formal name", and is enclosed in a <name> element.

The RFC822 structures using source-route values (i.e. 'route' in 'route-addr') are not supported. RFC822 'comment' values within addresses are not supported. Thus, RFC822 e-mail addresses that might be expressed as:

Piglet@TrespassersW.100Aker.org (MR SANDERS)

which is generally equivalent to:

MR SANDERS <Piglet@TrespassersW.100Aker.org>

must be presented in the form:

<emx:Address>
  <emx:adrs>mailto:Piglet@TrespassersW.100Aker.org</emx:adrs>
Any ‘<’, ‘&’ and certain ‘>’ characters appearing in a formal name (<name> element) MUST be represented using ‘&lt;’, ‘&amp;’ or ‘&gt;’ as noted previously in section 3.4.

3.7.1 Header fields containing address groups

Some RFC822 headers can have address group values as well as just address values. The RFC822 ‘group’ structure associates a collection of addresses with a name for that collection. The individual addresses in a group may be omitted.

An address group is expressed using a <Group> element containing the name of the group and zero, one or more <member> elements each containing an <Address>:

```xml
    <emx:Group>
      <emx:name>Christopher-Robins-friends</emx:name>
      <emx:member>
        <emx:Address>
          <emx:adrs>mailto:Pooh@PoohCorner.100Aker.org</emx:adrs>
          <emx:name>Winnie the Pooh</emx:name>
        </emx:Address>
      </emx:member>
      <emx:member>
        <emx:Address>
          <emx:adrs>mailto:Piglet@TrespassersW.100Aker.org</emx:adrs>
          <emx:name>MR SANDERS</emx:name>
        </emx:Address>
      </emx:member>
      <emx:member>
        <Address>
          <adrs>im:Eeyore@ThistlyCorner.100Aker.org</adrs>
          <name>Eeyore</name>
        </Address>
      </emx:member>
    </emx:Group>
```

Omitting the individual member addresses, this would be:

```xml
    <emx:Group>
      <emx:name>Christopher-Robins-friends</emx:name>
    </emx:Group>
```
3.8 Header elements containing human readable text

Header fields that contain human readable text MAY have an ‘xml:lang=’ attribute of the header element to indicate a language for the contained text.

In the absence of such an attribute, any language applicable to the surrounding XML is to be assumed.

3.9 MIME header fields

MIME content header fields MAY be part of the message header, using the same general format and XML namespace as RFC822-derived header fields (i.e. element name based on the MIME header field name, and associated with the same XML namespace).

But note that most MIME header fields are not appropriate for use with the Email+XML message format. When the message content is supplied as a separate MIME entity then MIME content header fields SHOULD be applied to that entity.

It is expected that MIME header fields may be useful in the following circumstances:

- When the message content is included as inline XML, to convey information about it that cannot be conveyed using native XML mechanisms; e.g. the Content-features header [22].

- MIME headers, not having an obvious XML counterpart, that express information that might be taken as metadata applying to the message as a whole, in isolation from the specific message content; e.g. the Content-description header field.

3.10 Other header fields

A message header MAY contain header fields that are not derived from RFC822 or MIME. Any such header field names used MUST be associated with a different namespace.

This specification does not define any such additional header fields.
3.10.1 Mandatory extensions

In general, a message handler should ignore any header fields that it does not understand.

But sometimes it is desirable to introduce new header fields that must be understood for proper processing of the message to take place. This specification defines an XML attribute ‘mustUnderstand=’, which indicates whether or not the element to which it applies must be understood by a message processor:

mustUnderstand=’false’  is the default case, and indicates that the corresponding element MAY safely be ignored.

mustUnderstand=’true’   indicates that the element to which it applies MUST be processed, OR processing of the entire message (or message header) MUST be abandoned.

In XML namespace terms [9], the ‘mustUnderstand=’ attribute belongs to a "per-element-type namespace partition". Interpretation of the attribute is a property of the element to which it applies. In any case, the DTD or XML schema must declare that the element is allowed on any particular XML element type. It is strongly recommended that any header elements used within an Email+XML message header allow this attribute with the interpretation described here.

Non-validating XML processors used to handle Email+XML message headers MAY interpret the ‘mustUnderstand=’ attribute appearing on any header field element as described here.

Notwithstanding the presence or absence of a ‘mustUnderstand=’ attribute, individual applications may require that certain header elements are present or absent from any header that they interpret.
4. Summary of RFC822-derived header elements

RFC822 fields containing a simple address:

return-path
from
sender
resent-from
resent-sender

RFC822 fields containing an address or group:

to
cc
bcc
reply-to
resent-to
resent-cc
resent-bcc
resent-reply-to

RFC822 fields containing human-readable text:

keywords
subject
comments

Other RFC822 fields:

received
date
resent-date
message-id
resent-message-id
in-reply-to
references
encrypted

5. IANA considerations

This specification calls for the registration of the new MIME content-type Message/Email+XML. The registration template is at appendix A.

[[[XML document identifier -- URN from IANA space?]]]

[[[XML namespace identifier -- URN from IANA space?]]]
6. Internationalization considerations

This specification attempts to relax the restriction of international data imposed by RFC822.

RFC822 limits characters in address local parts to US-ASCII. This specification uses URIs and XML-based address format, relaxing that constraint so that foreign language personal names can be represented. Character restrictions apply to URIs, and the %-escape mechanism defined by RFC2396 must be followed for representing non-URI characters. The character encoding used is dependent on the URI scheme, but UTF-8 is the strongly recommended choice. [[[todo: cite IRI work, and charmod?]]]

Similarly, the characters that can be used in domain names are currently severely constrained. Work is under way to define international forms for domain names.

Message content is tagged using standard MIME capabilities (charset parameter for text data [13], and Content-language header for language tagging [22]). Mandating handling of international data formats is a matter for particular applications; it is recommended that applications using the Email+XML message format be required to process UTF-8 coded character data. That does not necessarily mean that all characters received can be displayed.

For content included in an XML element, language tagging can be achieved by including an ‘xml:lang=’ attribute [16] in the <Message-content> element (subject to appropriate DTD or XML schema permission to use that attribute).
6.1 International URIs in XML

This sub-section is commentary, not part of this specification:

In a message to the W3C URI mailing list (http://lists.w3.org/Archives/Public/uri/2000Oct/0008.html), Martin Duerst wrote:

The original XML spec says (http://www.w3.org/TR/1998/REC-xml-19980210#sec-external-ent):

An XML processor should handle a non-ASCII character in a URI by representing the character in UTF-8 as one or more bytes, and then escaping these bytes with the URI escaping mechanism (i.e., by converting each byte to %HH, where HH is the hexadecimal notation of the byte value).

This says that the XML processor should do this for you, and therefore it should be okay for you to put in the original characters. But there are three problems here:

- It says ‘should’, not must.
- It’s not clear whether it applies to all URIs, or just to the URIs used in System Identifiers, and in the former case, it’s not clear how an XML processor would find all URIs in a document (without e.g. Schema information).
- The text in the second edition of XML (http://www.w3.org/TR/REC-xml#sec-external-ent) is much clearer about how the conversion has to take place; unfortunately, it doesn’t make clear who should do this conversion (the original document producer or the XML processor). The idea was not to change this for the second edition, but somehow it got lost. I’m following up on this.

7. Security considerations

This document for the most part describes an alternative coding of an existing message structure, and is not believed to introduce any new security exposure not already inherent in existing systems.

MIME based messages may be protected using existing MIME security frameworks, such as S/MIME [12], OpenPGP [13], etc.
Using a non-MIME, pure XML message format means that alternative security frameworks may be applicable, such as XML digital signatures [14].

Note that this framework is not designed to allow the conversion of message formats (e.g. between RFC822 and XML) while preserving signatures or other security information. If a signature is applied in a MIME body part, and that body part is moved to a message with a different header format, then the signature may be expected to remain intact.

8. Acknowledgements

The author thanks the following for their comments and/or contributions: Harald Alvestrand, Dave Crocker, Simon Josefsson, 

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Appendix A: Message/Email+XML content-type registration

[[[TBD]]]

Appendix B: DTD for Email+XML message format

[[[TBD]]]

Appendix C: XML schema for Email+XML message format

[[[TBD]]]

Appendix D: RDF representation of Email+XML message

The message header format described here is designed to be compatible with RDF [10]. To prepare a message header for presentation to an RDF processor, it should be enclosed in an <rdf:RDF> element having an appropriate RDF namespace declaration.

In RDF terms, the message header is a resource, having a property arc for each header element and also one for the message content.

Here is an informal representation of the RDF graph corresponding to the message example from section 2.3:

```
<Message>
    +--rfc822:from--> [<Address>]
       |-- ------
       |    +--adr --> "im:Eeyore@ThistlyCorner.100Aker.org"
       |    +--name --> "Eeyore"

    +--rfc822:to------> [<Group>]
       |    +--name --> "Anyone"

    +--rfc822:subject--> "Why?"
    +--content--> "Wherefore? Inasmuch as which?"
```

There is a subtle difference in the RDF form of a message with inline content and one that references a separate content object:
both have a ‘content’ property whose value is a resource; if the content is defined externally, the value of the ‘content’ property is an RDF resource containing the content; when the content is inline, the property value is an RDF literal.

If inline message content contains XML markup, to ensure complete RDF compatibility the ‘content’ element should have a property ‘parseType="Literal"’, to prevent the RDF processor from trying to interpret the content as RDF.

Appendix E: RDF schema for Email+XML message format

[[[TBD]]]

Appendix F: Amendment history

00a 13-Oct-2000  Memo initially created.

00b 16-Oct-2000  Add reference to XML spec note about non-ASCII text in a URI.

00c 18-Oct-2000  Change RFC822|XML to RFC822+XML (per later XML-MIME spec).

01a 04-Jan-2001  Change draft title and message format name. Indicate that this is not an exact coding of RFC822 messages, but an attempt to capture their essential semantics. Change syntax of address elements to be RDF compliant.

01b 10-Jan-2001  Add RFC822 group structure to address format. Distinguish between headers that allow group values and those that allow simple addresses. Use separate namespaces for message structure and headers derived from RFC822. Add brief discussion of RDF compatibility.

01c 12-Jan-2001  Add discussion list details.

02a 19-Jan-2001  Add clarification to security considerations that message signatures are not generally expected to survive any message format conversion.

03a 09-Apr-2002 Update contact details. Change name of 'seeNoEvil' attribute to 'mustUnderstand'.

Appendix G: Outstanding issues

- Review namespace URIs.
- Review MIME type name. (Message/XML? Application/Message+XML?)
- Allow more flexible use of RDF syntax to reduce verbosity (but increase number of different ways of expressing some constructs in XML; e.g. adrs and name attributes for <Address>)?
- Clarify effect of namespaces (or not) on element attribute names. XML attributes do not follow the same default namespace rules as elements.
- Define DTD, XML schema and RDF schema.
- Finalize IANA considerations.

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