Abstract

This document defines rules for presenting configuration and operational state data defined using YANG as JSON text. It does so by specifying a procedure for translating the subset of YANG-compatible XML documents to JSON text, and vice versa.

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1. Introduction

The aim of this document is to define rules for presenting configuration and operational state data defined in the YANG data modeling language [RFC6020] as JavaScript Object Notation (JSON) text [JSON]. The result can be potentially applied in two different ways:

1. JSON may be used instead of the standard XML [XML] encoding in the context of the NETCONF protocol [RFC6241] and/or with existing data models expressed in YANG. An example application is the RESTCONF Protocol [RESTCONF].

2. Other documents that choose JSON to represent structured data can use YANG for defining the data model, i.e., both syntactic and semantic constraints that the data have to satisfy.

JSON mapping rules could be specified in a similar way as the XML mapping rules in [RFC6020]. This would however require solving several problems. To begin with, YANG uses XPath [XPath] quite extensively, but XPath is not defined for JSON and such a definition would be far from straightforward.

In order to avoid these technical difficulties, this document employs an alternative approach: it defines a relatively simple procedure which allows for translating the subset of XML that can be modeled using YANG to JSON, and vice versa. Consequently, validation of a JSON text against a data model can be done by translating the JSON text to XML, which is then validated according to the rules stated in [RFC6020].

The translation procedure is adapted to YANG specifics and requirements, namely:

1. The translation is driven by a concrete YANG data model and uses information about data types to achieve better results than generic XML-JSON translation procedures.

2. Various document types are supported, namely configuration data, configuration + state data, RPC input and output parameters, and notifications.

3. XML namespaces specified in the data model are mapped to namespaces of JSON objects. However, explicit namespace identifiers are rarely needed in JSON text.

4. Translation of XML attributes, mixed content, comments and processing instructions is outside the scope of this document.
Item 1 above also means that, depending on the data model, the same XML element can be translated to different JSON objects. For example,

    <foo>123</foo>

is translated to

    "foo": 123

if the "foo" node is defined as a leaf with the "uint8" datatype, or to

    "foo": ["123"]

if the "foo" node is defined as a leaf-list with the "string" datatype, and the <foo> element has no siblings of the same name.
2. Terminology and Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

The following terms are defined in [RFC6020]:

- anyxml
- augment
- container
- data node
- data tree
- datatype
- feature
- identity
- instance identifier
- leaf
- leaf-list
- list
- module
- submodule

The following terms are defined in [XMLNS]:

- local name
- prefixed name
- qualified name
3. Specification of the Translation Procedure

The translation procedure defines a 1-1 correspondence between the subset of YANG-compatible XML documents and JSON text. This means that the translation can be applied in both directions and is always invertible.

The translation procedure is applicable only to data hierarchies that are modelled by a YANG data model. An input XML document MAY contain enclosing elements representing NETCONF "Operations" and "Messages" layers. However, these enclosing elements are ignored by the translation procedure and do not appear in the resulting JSON document.

Any YANG-compatible XML document can be translated, except documents with mixed content. This is only a minor limitation since mixed content is marginal in YANG - it is allowed only in "anyxml" nodes.

The following sections specify rules mainly for translating XML documents to JSON text. Rules for the inverse translation are stated only where necessary, otherwise they can be easily inferred.

REQUIRED parameters of the translation procedure are:

- YANG data model consisting of a set of YANG modules,
- type of the input document,
- optional features (defined via the "feature" statement) that are considered active.

The permissible types of input documents are listed in Table 1 together with the corresponding part of the data model that is used for the translation.
Table 1: YANG Document Types

A particular application MAY decide to support only a subset of document types from Table 1. For instance, RESTCONF Protocol [RESTCONF] does not use notifications.

XML documents can be translated to JSON text only if they are valid instances of the YANG data model and selected document type, also taking into account the active features, if there are any.

The resulting JSON document is always a single object ([JSON], Sec. 4) whose members are translated from the original XML document using the rules specified in the following sections.

3.1. Names and Namespaces

The local part of a JSON name is always identical to the local name of the corresponding XML element.

Each JSON name lives in a namespace which is uniquely identified by the name of the YANG module where the corresponding data node is defined. If the data node is defined in a submodule, then the namespace identifier is the name of the main module to which the submodule belongs. The translation procedure MUST correctly map YANG namespace URIs to YANG module names and vice versa.

The namespace SHALL be expressed in JSON text by prefixing the local name in the following way:

    <module name>:<local name>

Figure 1: Encoding a namespace identifier with a local name.

The namespace identifier MUST be used for local names that are
ambiguous, i.e., whenever the data model permits a sibling node with the same local name. Otherwise, the namespace identifier is OPTIONAL.

For example, consider the following YANG module:

```yang
tool module foomod {
    namespace "http://example.com/foomod";
    prefix "fm";
    container foo {
        leaf bar {
            type boolean;
        }
    }
}
```

If the data model consists only of this module, then the following is a valid JSON document:

```json
{  
    "foo": {  
        "bar": true  
    }
}
```

Now, assume the container "foo" is augmented from another module:

```yang
tool module barmod {  
    namespace "http://example.com/barmod";
    prefix "bm";
    import foomod {  
        prefix fm;
    }
    augment "/fm:foo" {  
        leaf bar {  
            type uint8;
        }
    }
}
```

In the data model combining "foomod" and "barmod", we have two sibling nodes with the same local name, namely "bar". In this case, a valid JSON document has to specify an explicit namespace identifier (module name) for both leaves:
{  
   "foo": {  
      "foomod:bar": true,  
      "barmod:bar": 123  
   }  
}

3.2. Mapping XML Elements to JSON Objects

XML elements that are modelled as YANG data nodes are translated to a name/value pair where the name is formed from the name of the XML element using the rules in Section 3.1. The value depends on the type of the data node as specified in the following sections.

3.2.1. The "leaf" Data Node

An XML element that is modeled as YANG leaf is translated to a name/value pair and the type of the value is derived from the YANG datatype of the leaf (see Section 3.3 for the datatype mapping rules).

Example: For the leaf node definition

leaf foo {  
    type uint8;  
}

the XML element

<foo>123</foo>

corresponds to the JSON name/value pair

"foo": 123

3.2.2. The "container" Data Node

An XML element that is modeled as YANG container is translated to a name/object pair.

Example: For the container node definition

container bar {  
    leaf foo {  
        type uint8;  
    }  
}

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the XML element
<bar>
  <foo>123</foo>
</bar>

corresponds to the JSON name/value pair

"bar": {
  "foo": 123
}

3.2.3. The "leaf-list" Data Node

A sequence of one or more sibling XML elements with the same
classified name that is modeled as YANG leaf-list is translated to a
name/array pair, and the array elements are primitive values whose
type depends on the datatype of the leaf-list (see Section 3.3).

Example: For the leaf-list node definition

```
leaf-list foo {
  type uint8;
}
```

the XML elements

```
<foo>123</foo>
<foo>0</foo>
```

corresponds to the JSON name/value pair

"foo": [123, 0]

3.2.4. The "list" Data Node

A sequence of one or more sibling XML elements with the same
classified name that is modeled as YANG list is translated to a name/array pair, and the array elements are JSON objects.

Unlike the XML encoding, where the list keys are required to come
before any other siblings, and in the order specified by the data
model, the order of members within a JSON list entry is arbitrary,
because JSON objects are fundamentally unordered collections of
members.

Example: For the list node definition

```
list bar {
  key foo;
  leaf foo {
    type uint8;
  }
  leaf baz {
    type string;
  }
}

the XML elements

<bar>
  <foo>123</foo>
  <baz>zig</baz>
</bar>
<bar>
  <foo>0</foo>
  <baz>zag</baz>
</bar>

corresponds to the JSON name/value pair

  "bar": [
    {
      "foo": 123,
      "baz": "zig"
    },
    {
      "foo": 0,
      "baz": "zag"
    }
  ]

3.2.5. The "anyxml" Data Node

An XML element that is modeled as a YANG anyxml node is translated to a name/object pair. The content of such an element is not modelled by YANG, and there may not be a straightforward mapping to JSON text (e.g., if it is a mixed XML content). Therefore, translation of anyxml contents is necessarily application-specific and outside the scope of this document.

Example: For the anyxml node definition

    anyxml bar;

the XML element
may be translated to the following JSON name/value pair:

```json
{
  "bar": {
    "p": "This is very cool."
  }
}
```

### 3.3. Mapping YANG Datatypes to JSON Values

#### 3.3.1. Numeric Datatypes

A value of one of the YANG numeric datatypes ("int8", "int16", "int32", "int64", "uint8", "uint16", "uint32", "uint64" and "decimal64") is mapped to a JSON number using the same lexical representation.

#### 3.3.2. The "string" Type

A "string" value is mapped to an identical JSON string, subject to JSON encoding rules.

#### 3.3.3. The "boolean" Type

A "boolean" value is mapped to the corresponding JSON value 'true' or 'false'.

#### 3.3.4. The "enumeration" Type

An "enumeration" value is mapped in the same way as a string except that the permitted values are defined by "enum" statements in YANG.

#### 3.3.5. The "bits" Type

A "bits" value is mapped to a string identical to the lexical representation of this value in XML, i.e., space-separated names representing the individual bit values that are set.

#### 3.3.6. The "binary" Type

A "binary" value is mapped to a JSON string identical to the lexical representation of this value in XML, i.e., base64-encoded binary
data.

3.3.7. The "leafref" Type

A "leafref" value is mapped according to the same rules as the type of the leaf being referred to.

3.3.8. The "identityref" Type

An "identityref" value is mapped to a string representing the qualified name of the identity. Its namespace MAY be expressed as shown in Figure 1. If the namespace part is not present, the namespace of the name of the JSON object containing the value is assumed.

3.3.9. The "empty" Type

An "empty" value is mapped to '[null]', i.e., an array with the 'null' value being its only element.

This representation was chosen instead of using simply 'null' in order to facilitate the use of empty leaves in common programming languages. When used in a boolean context, the '[null]' value, unlike 'null', evaluates to 'true'.

Example: For the leaf node definition

leaf foo {
    type empty;
}

the XML element

<foo/>

corresponds to the JSON name/value pair

"foo": [null]

3.3.10. The "union" Type

YANG "union" type represents a choice among multiple alternative types. The actual type of the XML value MUST be determined using the procedure specified in Sec. 9.12 of [RFC6020] and the mapping rules for that type are used.

For example, consider the following YANG definition:
leaf-list bar {
    type union {
        type uint16;
        type string;
    }
}

The sequence of three XML elements

<bar>6378</bar>
<bar>14.5</bar>
<bar>infinity</bar>

will then be translated to this name/array pair:

"bar": [6378, "14.5", "infinity"]

3.3.11. The "instance-identifier" Type

An "instance-identifier" value is a string representing a simplified XPath specification. It is mapped to an analogical JSON string in which all occurrences of XML namespace prefixes are either removed or replaced with the corresponding module name according to the rules of Section 3.1.

When translating such a value from JSON to XML, all components of the instance-identifier MUST be given appropriate XML namespace prefixes. It is RECOMMENDED that these prefixes be those defined via the "prefix" statement in the corresponding YANG modules.

3.4. IANA Considerations

TBD.

3.5. Security Considerations

TBD.

3.6. Acknowledgments

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4. References

4.1. Normative References


4.2. Informative References


Appendix A. A Complete Example

The JSON document shown below was translated from a reply to the
NETCONF <get> request that can be found in Appendix D of [IF-CFG].
The data model is a combination of two YANG modules: "ietf-
interfaces" and "ex-vlan" (the latter is an example module from
Appendix C of [IF-CFG]). The "if-mib" feature defined in the "ietf-
interfaces" module is considered to be active.

```json
{
   "interfaces": {
      "interface": [
         {
            "name": "eth0",
            "type": "ethernetCsmacd",
            "enabled": false
         },
         {
            "name": "eth1",
            "type": "ethernetCsmacd",
            "enabled": true,
            "vlan-tagging": true
         },
         {
            "name": "eth1.10",
            "type": "l2vlan",
            "enabled": true,
            "base-interface": "eth1",
            "vlan-id": 10
         },
         {
            "name": "lo1",
            "type": "softwareLoopback",
            "enabled": true
         }
      ]
   },
   "interfaces-state": {
      "interface": [
         {
            "name": "eth0",
            "type": "ethernetCsmacd",
            "admin-status": "down",
            "oper-status": "down",
            "if-index": 2,
            "phys-address": "00:01:02:03:04:05",
            "statistics": {
                "discontinuity-time": "2013-04-01T03:00:00+00:00"
            }
         }
      ]
   }
}
```

}
},
{
  "name": "eth1",
  "type": "ethernetCsmacd",
  "admin-status": "up",
  "oper-status": "up",
  "if-index": 7,
  "phys-address": "00:01:02:03:04:06",
  "higher-layer-if": [ "eth1.10"
  ],
  "statistics": {
    "discontinuity-time": "2013-04-01T03:00:00+00:00"
  }
},
{
  "name": "eth1.10",
  "type": "l2vlan",
  "admin-status": "up",
  "oper-status": "up",
  "if-index": 9,
  "lower-layer-if": [ "eth1"
  ],
  "statistics": {
    "discontinuity-time": "2013-04-01T03:00:00+00:00"
  }
},
{
  "name": "eth2",
  "type": "ethernetCsmacd",
  "admin-status": "down",
  "oper-status": "down",
  "if-index": 8,
  "phys-address": "00:01:02:03:04:07",
  "statistics": {
    "discontinuity-time": "2013-04-01T03:00:00+00:00"
  }
},
{
  "name": "lo1",
  "type": "softwareLoopback",
  "admin-status": "up",
  "oper-status": "up",
  "if-index": 1,
  "statistics": {
    "discontinuity-time": "2013-04-01T03:00:00+00:00"
  }
}
}

}
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