Home Documents for HTTP APIs
draft-nottingham-json-home-02

Abstract

This document proposes a "home document" format for non-browser HTTP clients.

Note to Readers

This draft should be discussed on the apps-discuss mailing list [1].

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1. Introduction

There is an emerging preference for non-browser Web applications (colloquially, "HTTP APIs") to use a link-driven approach to their interactions to assure loose coupling, thereby enabling extensibility and API evolution.

This is based upon experience with previous APIs that specified static URI paths (such as "http://api.example.com/v1.0/widgets/abc123/properties") have resulted in brittle, tight coupling between clients and servers.

Sometimes, these APIs were documented by a document format like WADL [2] that is used as a design time description; i.e., the URIs and other information they describe are "baked into" client implementations.

In contrast, a "follow your nose" API advertises the resources available to clients using link relations [RFC5988] and the formats they support using internet media types [RFC4288]. A client can then decide – at run time – which resources to interact with based upon its capabilities (as described by link relations), and the server can safely add new resources and formats without disturbing clients that are not yet aware of them.

As such, the client needs to be able to discover this information quickly and efficiently use it to interact with the server. Just as with a human-targeted home page for a site, we can create a "home document" for a HTTP API that describes it to non-browser clients.

Of course, an HTTP API might use any format to do so; however, there are advantages to having a standard home document format. This specification suggests one for consideration, using the JSON format [RFC4627].

2. Requirements

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

3. JSON Home Documents

A JSON Home Document uses the format described in [RFC4627] and has the media type "application/json-home".
Its content consists of a root object with a "resources" property, an object whose names are link relation types (as defined by [RFC5988]), and values are Resource Objects, defined below.

For example:

GET / HTTP/1.1
Host: example.org
Accept: application/json-home

HTTP/1.1 200 OK
Content-Type: application/json-home
Cache-Control: max-age=3600
Connection: close

{
    "resources": {
        "http://example.org/rel/widgets": {
            "href": "/widgets/"
        },
        "http://example.org/rel/widget": {
            "href-template": "/widgets/{widget_id}"
        },
        "hints": {
            "allow": ["GET", "PUT", "DELETE", "PATCH"],
            "representations": ["application/json"],
            "accept-patch": ["application/json-patch"],
            "accept-post": ["application/xml"],
            "accept-ranges": ["bytes"]
        }
    }
}

Here, we have a home document that links to a resource, "/widgets/" with the relation "http://example.org/rel/widgets". It also links to an undefined number of resources with the relation type "http://example.org/rel/widget" using a URI Template [RFC6570], along with a mapping of several identifiers to specific variables for use in that template.

It also gives several hints about interacting with the latter "widget" resources, including the HTTP methods usable with them, the patch formats they accept, and the fact that they support partial requests [I-D.ietf-httpbis-p5-range] using the "bytes" range-specifier.
It gives no such hints about the "widgets" resource. This does not mean that it (for example) doesn’t support any HTTP methods; it means that the client will need to discover this by interacting with the resource, and/or examining the documentation for its link relation type.

Note that the properties of a "resources" object MUST be unique; i.e., one Resource Object per relation type.

4. Resource Objects

A Resource Object links to resources of the defined type using one of two mechanisms; either a direct link (in which case there is exactly one resource of that relation type associated with the API), or a templated link, in which case there are zero to many such resources.

Resource Objects MUST have only and exactly one of the "href" and "href-template" properties.

Direct links are indicated with an "href" property, whose value is a URI [RFC3986].

Templated links are indicated with an "href-template" property, whose value is a URI Template [RFC6570]. When "href-template" is present, the Resource Object MUST have a "href-vars" property; see "Resolving Templated Links".

In both forms, the links that "href" and "href-template" refer to are URI-references [RFC3986] whose base URI is that of the JSON Home Document itself.

Resource Objects MAY also have a "hints" property, whose value is an object that uses named Resource Hints as its properties.

4.1. Resolving Templated Links

A URI can be derived from a Templated Link by treating the "href-template" value as a Level 3 URI Template [RFC6570], using the "href-vars" property to fill the template.

The "href-vars" property, in turn, is an object that acts as a mapping between variable names available to the template and absolute URIs that are used as global identifiers for the semantics and syntax of those variables.

For example, given the following Resource Object:
"http://example.org/rel/widget": {
  "href-template": "/widgets/{widget_id}"
},
"href-vars": {
  "widget_id": "http://example.org/param/widget"
}
,"hints": {
  "allow": ["GET", "PUT", "DELETE", "PATCH"],
  "representations": ["application/json"],
  "accept-patch": ["application/json-patch"],
  "accept-post": ["application/xml"],
  "accept-ranges": ["bytes"]
}
}

If you understand that "http://example.org/param/widget" is an numeric identifier for a widget (perhaps by dereferencing that URL and reading the documentation), you can then find the resource corresponding to widget number 12345 at "http://example.org/widgets/12345" (assuming that the Home Document is located at "http://example.org/").

5. Resource Hints

Resource hints allow clients to find relevant information about interacting with a resource beforehand, as a means of optimising communications, as well as advertising available behaviours (e.g., to aid in laying out a user interface for consuming the API).

Hints are just that - they are not a "contract", and are to only be taken as advisory. The runtime behaviour of the resource always overrides hinted information.

For example, a resource might hint that the PUT method is allowed on all "widget" resources. This means that generally, the user has the ability to PUT to a particular resource, but a specific resource could reject a PUT based upon access control or other considerations. More fine-grained information might be gathered by interacting with the resource (e.g., via a GET), or by another resource "containing" it (such as a "widgets" collection).

This specification defines a set of common hints, based upon information that’s discoverable by directly interacting with resources. A future draft will explain how to define new hints.
5.1. allow

Hints the HTTP methods that the current client will be able to use to interact with the resource; equivalent to the Allow HTTP response header.

Content MUST be an array of strings, containing HTTP methods.

5.2. representations

Hints the representation types that the resource produces and consumes, using the GET and PUT methods respectively, subject to the ‘allow’ hint.

Content MUST be an array of strings, containing media types.

5.3. accept-patch

Hints the PATCH request formats [RFC5789] accepted by the resource for this client; equivalent to the Accept-Patch HTTP response header.

Content MUST be an array of strings, containing media types.

When this hint is present, "PATCH" SHOULD be listed in the "allow" hint.

5.4. accept-post

Hints the POST request formats accepted by the resource for this client.

Content MUST be an array of strings, containing media types.

When this hint is present, "POST" SHOULD be listed in the "allow" hint.

5.5. accept-put

Hints the PUT request formats accepted by the resource for this client.

Content MUST be an array of strings, containing media types. If absent, a client MAY assume that any format indicated by the ‘representations’ hint is acceptable in a PUT.

When this hint is present, "PUT" SHOULD be listed in the "allow" hint.

5.6. accept-ranges

Hints the range-specifiers available to the client for this resource; equivalent to the Accept-Ranges HTTP response header [I-D.ietf-httpbis-p5-range].

Content MUST be an array of strings, containing HTTP range-specifiers.

5.7. prefer

Hints the preferences [I-D.snell-http-prefer] supported by the resource. Note that, as per that specifications, a preference can be ignored by the server.

Content MUST be an array of strings, contain preferences.

5.8. docs

Hints the location for human-readable documentation for the relation type of the resource.

Content MUST be a string containing an absolute-URI [RFC3986] referring to documentation that SHOULD be in HTML format.

5.9. precondition-req

Hints that the resource requires state-changing requests (e.g., PUT, PATCH) to include a precondition, as per [I-D.ietf-httpbis-p4-conditional], to avoid conflicts due to concurrent updates.

Content MUST be an array of strings, with possible values "etag" and "last-modified" indicating type of precondition expected.

5.10. auth-req

Hints that the resource requires authentication using the HTTP Authentication Framework [I-D.ietf-httpbis-p7-auth].

Content MUST be an array of objects, each with a "scheme" property containing a string that corresponds to a HTTP authentication scheme, and optionally a "realms" property containing an array of zero to many strings that identify protection spaces that the resource is a member of.

For example, a Resource Object might contain the following hint:
{  
  "auth-req": [  
    {  
      "scheme": "Basic",  
      "realms": ["private"]  
    }  
  ]  
}

5.11. status

Hints the status of the resource.

Content MUST be a string; possible values are:

- "deprecated" - indicates that use of the resource is not recommended, but it is still available.
- "gone" - indicates that the resource is no longer available; i.e., it will return a 410 Gone HTTP status code if accessed.

6. Creating and Serving Home Documents

When making a home document available, there are a few things to keep in mind:

- A home document is best located at a memorable URI, because its URI will effectively become the URI for the API itself to clients.
- Home documents can be personalised, just as "normal" home pages can. For example, you might advertise different URIs, and/or different kinds of link relations, depending on the client’s identity.
- Home documents SHOULD be assigned a freshness lifetime (e.g., "Cache-Control: max-age=3600") so that clients can cache them, to avoid having to fetch it every time the client interacts with the service.
- Custom link relation types, as well as the URIs for variables, should lead to documentation for those constructs.

6.1. Managing Change in Home Documents

The URIs used in home documents MAY change over time. However, changing them can cause issues for clients that are relying on cached home documents containing old links.

To mitigate these risks, servers changing links SHOULD consider:
Reducing the freshness lifetime of home documents before a link change, so that clients are less likely to refer to an "old" document.

Assure that they handle requests for the "old" URIs appropriately; e.g., with a 404 Not Found, or by redirecting the client to the new URI.

Alternatively, considering the "old" and "new" URIs as equally valid references for an "overlap" period.

Generally, servers ought not to change URIs without good cause.

### 6.2. Evolving and Mixing APIs with Home Documents

Using home documents affords the opportunity to change the "shape" of the API over time, without breaking old clients.

This includes introducing new functions alongside the old ones - by adding new link relation types with corresponding resource objects - as well as adding new template variables, media types, and so on.

It’s important to realise that a home document can serve more than one "API" at a time; by listing all relevant relation types, it can effectively "mix" different APIs, allowing clients to work with different resources as they see fit.

### 6.3. Documenting APIs that use Home Documents

Another use case for "static" API description formats like WSDL and WADL is to generate documentation for the API from them.

An API that uses the home document format correctly won’t have a need to do so, provided that the link relation types and media types it uses are well-documented already.

### 7. Consuming Home Documents

Clients might use home documents in a variety of ways.

In the most common case - actually consuming the API - the client will scan the Resources Object for the link relation(s) that it is interested in, and then to interact with the resource(s) referred to. Resource Hints can be used to optimise communication with the client, as well as to inform as to the permissible actions (e.g., whether PUT is likely to be supported).

Note that the home document is a "living" document; it does not represent a "contract", but rather is expected to be inspected before
each interaction. In particular, links from the home document MUST NOT be assumed to be valid beyond the freshness lifetime of the home document, as per HTTP’s caching model [I-D.ietf-httpbis-p6-cache].

As a result, clients SHOULD cache the home document (as per [I-D.ietf-httpbis-p6-cache]), to avoid fetching it before every interaction (which would otherwise be required).

Likewise, a client encountering a 404 Not Found on a link SHOULD obtain a fresh copy of the home document, to assure that it is up-to-date.

8. Security Considerations

TBD

Clients need to exercise care when using hints. For example, a naive client might send credentials to a server that uses the auth-req hint, without checking to see if those credentials are appropriate for that server.

9. IANA Considerations

TBD

10. References

10.1. Normative References

[I-D.ietf-httpbis-p6-cache]  
Fielding, R., Lafon, Y., Nottingham, M., and J. Reschke, "HTTP/1.1, part 6: Caching", 
draft-ietf-httpbis-p6-cache-19 (work in progress), March 2012.


10.2.  Informative References

[I-D.ietf-httpbis-p4-conditional]  

[I-D.ietf-httpbis-p5-range]  

[I-D.ietf-httpbis-p7-auth]  

[I-D.snell-http-prefer]  
Snell, J., "Prefer Header for HTTP", draft-snell-http-prefer-12 (work in progress), February 2012.


URIs


Appendix A.  Acknowledgements

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Appendix B. Frequently Asked Questions

B.1. Why not Microformats?

Browser-centric Web applications use HTML as their representation format of choice. While it is possible to augment HTML for non-browser clients (using techniques like Microformats [3]), a few issues become evident when doing so:

- HTML has a very forgiving syntax. While this is appropriate for browsers (especially considering that there are many million HTML authors in the world), it makes for a less-than-precise language for machines, resulting in both overhead (parsing and transmission) as well as lack of precision.
- HTML is presentation-centric, making it tempting to reformat it from time to time, to improve the "look and feel" of a page. However, doing so can cause comparatively brittle non-browser clients to lose their understanding of the content’s semantics, unless very careful controls are in place.

Because of this, it’s most practical to define a separate format, and JSON is easily machine-readable, precise, and has a better chance of being managed for stability.

B.2. What about authentication?

In HTTP, authentication is discoverable by interacting with the resource (usually, by getting a 401 Unauthorized response status code, along with one or more challenges). While the home document could hint it, this isn’t yet done, to avoid possible security complications.

B.3. What about ‘Faults’ (i.e., errors)?

In HTTP, errors are conveyed by HTTP status codes. While this specification could (and even may) allow enumeration of possible error conditions, there’s a concern that this will encourage applications to define many such "faults", leading to tight coupling between the application and its clients.

So, this is an area of possible future development; if any such mechanism appears here, it’s likely to be quite restricted.
B.4. How Do I find the XML Schema / JSON Schema / etc. for a particular media type?

That isn’t addressed by home documents. Ultimately, it’s up to the media type accepted and generated by resources to define and constrain (or not) their syntax.

B.5. How do I express complex query arguments?

Complex queries -- i.e., those that exceed the expressive power of Link Templates or would require ambiguous properties of a "resources" object -- aren’t intended to be defined by a home document. The appropriate way to do this is with a "form" language, much as HTML defines.

Future revisions of this specification may define or accommodate the use of such a form in the home document.

Appendix C. Open Issues

The following is a list of placeholders for open issues.

- Refining and extending representation formats – "application/xml", for example, isn’t enough. While a media type for every representation is one answer, something like ‘profile’ might be good too.
- Object for contact details – do we need an object that describes who’s running the API, etc?
- Defining new hints – guidance is needed on minting new hints. Possibly a registry.
- Defining new top-level properties – how new ones are minted, registry, etc.
- Defining new Resource Object properties – how new ones are minted, registry, etc.
- Hint to indicate a POST to 201 Created pattern
- Hint to indicate an "action" POST
- Describe the extensibility model
- Allow resources to expose their links

Author’s Address

Mark Nottingham

Email: mnot@mnot.net
URI: http://www.mnot.net/