Comprehensive Core Rules and References for ABNF
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Abstract

This document extends the base definition of ABNF (Augmented Backus-Naur Form) to include comprehensive support for certain symbols related to ASCII, and defines a reference syntax.

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1. Introduction

Augmented Backus-Naur Form (ABNF) [RFC5234] is a formal syntax that is popular among many Internet specifications. Many Internet documents employ this syntax along with the Core Rules defined in Appendix B.1 of [RFC5234]. However, the Core Rules do not specify many symbols in the ASCII range that are also needed by these relying documents, forcing document authors to define them as local rules. Sometimes different documents define these common symbols in different ways, resulting in confusion or incompatibility when the rules are misread or are combined with other sets of rules. Furthermore, [RFC5234] does not clarify whether referencing [RFC5234] for ABNF automatically defines its Core Rules.

[RFC5234] also lacks a syntax for referring to rules from other specifications. Instead, authors have been required to name the rules and sources in the specification prose. While this method has served authors well, it has hampered machine-readable ABNF efforts for services such as syntax highlighting, automatic grammar checking, and compiling into target computer languages.

2. Comprehensive Core Rule Update

This document provides Core Rules that include comprehensive support for certain symbols, namely DELETE (DEL) and the C0 controls in [ASCII86], which for purposes of this document is equivalent to [RFC0020].

3. Reference Syntax

To reference a rule in another ABNF grammar, use the syntax rulename@REF. The referenced rule resolves to terminal values in the context of the referenced ABNF grammar, essentially replacing the verbiage: "{RULE} is taken from [{Section X} of] {[RFCXXXX]}" in prose specification text that introduces the ABNF. The following enhancement to [RFC5234] permits this referenced-rule syntax as an incremental element:

```plaintext
element    =/ refrule
refrule    =  rulename "@" ruleref
ruleref    =  ref-doc / ref-uri
ref-doc    =  "[" 1*({%x20-5A / %x5C / %x5E-7E} )"]"
```

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; NB: the part after ":" could be 1*VCHAR for even greater simplicity
ref-uri    =  ALPHA *( ALPHA / DIGIT / "-" / "_" / "." )
             "::"  1*( "!" / %x23-3B / "=" / %x3F-5B /
                    "]" / "_" / %x61-7A / "." )

In the referenced-rule production (refrule), the rulename production
preceding the "@" specifies the name of the rule in the reference
containing ABNF. The ruleref production following the "@" specifies
the reference containing ABNF. The precise manner in which the
reference is associated with the grammar contained therein is not
defined by this specification. Furthermore, this specification does
not define the semantics if a rule is found in a grammar that is not
ABNF. (This limitation is because rule names in ABNF are case-
insensitive and drawn from a limited character repertoire. Some rule
names in other BNFs may be unreachable or ambiguous, even though the
productions named by the rules are linguistically compatible.)

The form ref-doc is a document reference of a resource containing
ABNF. The term "document reference" refers to "the document
containing this ABNF (i.e., the instance of these production rules)".
In IETF-related publications, ref-doc conveniently is of the same
form as document references, such as "[RFC1605]".

The form ref-uri is supposed to be a Uniform Resource Identifier
[ RFC3986 ], but this specification imposes no requirement to validate
conformance to the URI production of [ RFC3986 ]. The reference is to
the resource containing ABNF identified by the URI. Fragment
components might be present, but only if the resource defines the
fragment to mean a range of text (i.e., not just a point in the
text).

Stylistically, authors are encouraged to put reference syntax at the
top of a list of rules, and to limit usage of the reference syntax to
the single element of a rule definition. For example:

    You      = Edward@[FFIV]
    spoony   = spoony@[FFIV]
    bard     = bard@[FF-JOB-CLASS]
    chara    = Tellah@[FFIV]
    insult   = chara "::" You spoony bard "!"

4. Effects on RFC 5234

Formally, this document updates [RFC5234] but does not modify it in
situ. Authors need to reference this document if they want to include
these enhancements; bare references to [RFC5234] do not include this
specification (or, for that matter, [RFC7405]). This directive
follows a model whereby document authors can choose whether to invoke particular enhancements to ABNF. As time goes on, the IETF can determine how often these enhancements are invoked, and can decide whether to include them as part of a revision to the base [RFC5234].

A bare reference to this document invokes the reference syntax enhancement as well as the Core Rules of Appendix A (i.e., the Core Rules do not have to use reference syntax). Nevertheless, document authors are free to qualify a reference to this document to invoke each feature selectively.

Appendix A of this document is meant to mirror Appendix B.1 of [RFC5234]; therefore, concurrently referencing Appendix B.1 of [RFC5234] is superfluous. Document authors who reference this document should use the rules of Appendix A, and should not attempt to redefine or provide incremental alternatives to them (except for backwards compatibility with prior documents).

5. IANA Considerations

This document implies no IANA considerations.

6. Security Considerations

Security is truly believed to be irrelevant to the Core Rules in this document.

Unfortunately security is relevant to the reference syntax in this document. Using the reference syntax facilitates automated processing of ABNF. A malicious source could supply different ABNF as an attack vector on a compiled program. Furthermore, referring to a mutable resource (e.g., a document series such as BCP) permits the resource to change its contained ABNF, which may be well-intentioned but have side-effects when combined with the referring ABNF. Authors should stick to persistent, durable references, whose integrity can be validated easily.

7. References

7.1. Normative References


Appendix A. Comprehensive Core Rules

Certain basic rules are in uppercase, such as SP, HTAB, CRLF, DIGIT, ALPHA, etc.

\[
\begin{align*}
\text{ALPHA} &= \%x41-5A / \%x61-7A ; A-Z / a-z \\
\text{BIT} &= "0" / "1" \\
\text{CHAR} &= \%x01-7F \\
&; \text{any 7-bit US-ASCII character,}
&; \text{excluding NUL}
\text{CR} &= \%xD \\
&; \text{carriage return}
\text{CRLF} &= \text{CR LF} \\
&; \text{Internet standard newline}
\text{CTL} &= \%x00-1F / \%7F \\
&; \text{controls}
\text{DIGIT} &= \%x30-39 \\
&; 0-9
\text{DQUOTE} &= \%x22 \\
&; " \text{(Double Quote)}
\text{HEXDIG} &= \text{DIGIT / "A" / "B" / "C" / "D" / "E" / "F"}
\end{align*}
\]
HTAB = %x09
   ; horizontal tab

LF  = %x0A
   ; linefeed

LWSP = * (WSP / CRLF WSP)
   ; Use of this linear-white-space rule
   ; permits lines containing only white
   ; space that are no longer legal in
   ; mail headers and have caused
   ; interoperability problems in other
   ; contexts.
   ; Do not use when defining mail
   ; headers and use with caution in
   ; other contexts.

OCTET = %x00-FF
   ; 8 bits of data

SP  = %x20

VCHAR = %x21-7E
   ; visible (printing) characters

WSP  = SP / HTAB
   ; white space

NUL   = %d0
SOH   = %d1
STX   = %d2
ETX   = %d3
EOT   = %d4
ENQ   = %d5
ACK   = %d6
BEL   = %d7
BS    = %d8
HT    = %d9 ; also defined as HTAB

VT    = %d11
FF    = %d12 ; (literally used in every RFC)

SO    = %d14
SI    = %d15
DLE   = %d16
DC1   = %d17
DC2   = %d18
DC3   = %d19
Appendix B. Guidance for Rule Names for C1 Controls and Other Desiderata

Internet protocols have been migrating to Unicode and specifically UTF-8 for general text encoding. Authors need to consider the presence and possible effects of characters and code points beyond ASCII. See [RFC5198]. Therefore, the following rule names may take on special meanings. This document does not formally define these rule names, nor does this document prohibit other specifications from using them. However, authors ought only to use these rule names in their normal and natural senses. For the underlying sources, consult [UNICODE] and [RFC1345].

ABNF rules resolve into a string of terminal values. Such a value "is merely a non-negative integer"; only context can furnish a specific mapping of values into a character set. Therefore, even if Unicode is specified, mappings between terminal values beyond %x7F may result in different bit combinations depending on the encoding method.

This document does not purport to change the character set of ABNF itself, which remains [ASCII86]. (See [RFC5234].)

| UNICODE                       | <U+0000-U+D7FF / U+E000-U+10FFFF> |
| BEYONDASCII                   | <U+0080-U+D7FF / U+E000-U+10FFFF> |
|                               | [[DISCUSS: these definitions are limited to the Unicode scalar values.]] |
| C1                             | <U+0080-U+009F> |
| PAD                            | <U+0080> |
| HOP                            | <U+0081> |
| BPH                            | <U+0082> |
NBH  <U+0083>
IND  <U+0084>
NEL  <U+0085>
NL  <possibly CRLF, CR, LF, NEL, or any
    combination thereof, but not LS or PS>
SSA  <U+0086>
ESA  <U+0087>
HTS  <U+0088>
HTJ  <U+0089>
VTS  <U+008A>
PLD  <U+008B>
PLU  <U+008C>
RI  <U+008D>
SS2  <U+008E>
SS3  <U+008F>
DCS  <U+0090>
PU1  <U+0091>
PU2  <U+0092>
STS  <U+0093>
CCH  <U+0094>
MW  <U+0095>
SPA  <U+0096>
EPA  <U+0097>
SOS  <U+0098>
SGCI <U+0099>
SCI  <U+009A>
CSI  <U+009B>
ST  <U+009C>
OSC  <U+009D>
PM  <U+009E>
APC  <U+009F>
NBSP <U+00A0>
SHY <U+00AD>
LS  <U+0228>
PS  <U+0229>

Author’s Address

Sean Leonard
Penango, Inc.
5900 Wilshire Boulevard
21st Floor
Los Angeles, CA  90036
USA

EMail: dev@seantek.com
URI:    http://www.penango.com/