Client Authentication Request Extension for (D)TLS
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Abstract

This document describes an extension to Transport Layer Security (TLS) and Datagram TLS (DTLS) that allows a client to indicate that it wants to provide a client certificate.

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1. Introduction

In Transport Layer Security (TLS) [RFC5246] and Datagram TLS (DTLS) [RFC5764] the server decides whether or not to request a certificate from clients.

In TLS versions 1.2 and earlier, the Certificate message from a client is not encrypted and is therefore not confidential. TLS renegotiation is frequently used to provide confidentiality for client credentials, since renegotiation handshakes are encrypted with the TLS session keys.

A client that is aware of a need to authenticate can initial renegotiation, but is unable to induce a CertificateRequest from the server. The decision to request client authentication is one that can only be made by a server.

This document defines a client authentication request extension that can be used by a client to request that the server send a CertificateRequest in its handshake.

1.1. Conventions and Terminology

At times, this document falls back on shorthands for establishing interoperability requirements on implementations: the capitalized words "MUST", "SHOULD" and "MAY". These terms are defined in [RFC2119].

2. Client Authentication and TLS Renegotiation

Renegotiation has the potential to create confusion at higher layers about the security properties that apply to the byte stream. This is especially difficult when there are protocol constructs that span the ChangeCipherSpec messages that represent a switch between states.
For that reason, a client can initiate a new connection when it
detects a need to authenticate, initiating renegotiation to establish
authentication credentials immediately after the initial handshake.

Since the server only conditionally requests client authentication
and it has no context with which to decide that authentication is
needed, the client needs to provide some indication that it might
need to be authenticated. The second, renegotiation handshake can
contain the client authentication request extension (Section 3) to
provide this indication. As long as no application data is sent on
the connection prior to completing renegotiation and sending the
corresponding ChangeCipherSpec, there is no possibility for confusion
over the security properties of application content.

This behavior could need to be triggered by a higher level protocol.
This document does not define how that happens.

3. Client Authentication Request Extension

A new extension type ("client_authentication_request(TBD)") is
defined. If a client includes this extension in its ClientHello to
indicate that it wishes the server to issue a CertificateRequest.

```
enum {
  client_authentication_request(TBD), (65535)
} ExtensionType;
```

The "extension_data" field of this extension MUST be empty.

A server that supports client authentication based on certificates
can use the presence of this extension to decide to include a
CertificateRequest. The server MAY choose to ignore this extension.

A server MUST NOT send this extension to a client.

4. Security Considerations

This document is entirely about security.

5. IANA Considerations

IANA has allocated a TLS extension code point of (TBD) for this
extension.
6. Acknowledgements

Eric Rescorla helped identify the problem and formulate this mechanism.

7. Normative References


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