There is some known misbehavior of DNS authoritative servers when they are queried for AAAA resource records. Such behavior can block IPv4 communication that should actually be available, cause a significant delay in name resolution, or even make a denial of service attack. This memo describes details of known cases and discusses their effects.

1. Introduction

Many existing DNS clients (resolvers) support IPv6 first search for AAAA Resource Records (RRs) of a target host name, and then for A RRs of the same name. This fallback mechanism is based on the DNS specifications, which if not obeyed by authoritative servers, can produce unpleasant results. In some cases, for example, a web browser fails to connect to a web server it could otherwise reach. In the following sections, this memo describes some typical cases of such misbehavior and its (bad) effects.

Note that the misbehavior is not specific to AAAA RRs. In fact, all known examples also apply to the cases of queries for MX, NS, and SOA RRs. The authors believe this can be generalized for all types of queries other than those for A RRs. In this memo, however, we concentrate on the case for AAAA queries, since the problem is particularly severe for resolvers that support IPv6, which thus affects many end users. Resolvers at end users normally send A and/or AAAA queries only, so the problem for the other cases is relatively minor.
2. Network Model

In this memo, we assume a typical network model of name resolution environment using DNS. It consists of three components: stub resolvers, caching servers, and authoritative servers. A stub resolver issues a recursive query to a caching server, which then handles the entire name resolution procedure recursively. The caching server caches the result of the query and sends the result to the stub resolver. The authoritative servers respond to queries for names for which they have the authority, normally in a non-recursive manner.

3. Expected Behavior

Suppose that an authoritative server has an A RR but has no AAAA RR for a host name. Then, the server should return a response to a query for an AAAA RR of the name with the response code (RCODE) being 0 (indicating no error) and with an empty answer section (see Sections 4.3.2 and 6.2.4 of [1]). Such a response indicates that there is at least one RR of a different type than AAAA for the queried name, and the stub resolver can then look for A RRs.

This way, the caching server can cache the fact that the queried name has no AAAA RR (but may have other types of RRs), and thus improve the response time to further queries for an AAAA RR of the name.

4. Problematic Behaviors

There are some known cases at authoritative servers that do not conform to the expected behavior. This section describes those problematic cases.

4.1. Ignore Queries for AAAA

Some authoritative servers seem to ignore queries for an AAAA RR, causing a delay at the stub resolver to fall back to a query for an A RR. This behavior may cause a fatal timeout at the resolver or at the application that calls the resolver. Even if the resolver eventually falls back, the result can be an unacceptable delay for the application user, especially with interactive applications like web browsing.

4.2. Return "Name Error"

This type of server returns a response with RCODE 3 ("Name Error") to a query for an AAAA RR, indicating that it does not have any RRs of any type for the queried name.
With this response, the stub resolver may immediately give up and
never fall back. Even if the resolver retries with a query for an A
RR, the negative response for the name has been cached in the caching
server, and the caching server will simply return the negative
response. As a result, the stub resolver considers this to be a
fatal error in name resolution.

Several examples of this behavior are known to the authors. As of
this writing, all have been fixed.

4.3. Return Other Erroneous Codes

Other authoritative servers return a response with erroneous response
codes other than RCODE 3 ("Name Error"). One such RCODE is 4 ("Not
Implemented"), indicating that the servers do not support the
requested type of query.

These cases are less harmful than the previous one; if the stub
resolver falls back to querying for an A RR, the caching server will
process the query correctly and return an appropriate response.

However, these can still cause a serious effect. There was an
authoritative server implementation that returned RCODE 2 ("Server
failure") to queries for AAAA RRs. One widely deployed mail server
implementation with a certain type of resolver library interpreted
this result as an indication of retry and did not fall back to
queries for A RRs, causing message delivery failure.

If the caching server receives a response with these response codes,
it does not cache the fact that the queried name has no AAAA RR,
resulting in redundant queries for AAAA RRs in the future. The
behavior will waste network bandwidth and increase the load of the
authoritative server.

Using RCODE 1 ("Format error") would cause a similar effect, though
the authors have not seen such implementations yet.

4.4. Return a Broken Response

Another type of authoritative servers returns broken responses to
AAAA queries. Returning a response whose RR type is AAAA with the
length of the RDATA being 4 bytes is a known behavior of this
category. The 4-byte data looks like the IPv4 address of the queried
host name.
That is, the RR in the answer section would be described as follows:

```
www.bad.example. 600 IN AAAA 192.0.2.1
```

which is, of course, bogus (or at least meaningless).

A widely deployed caching server implementation transparently returns the broken response (and caches it) to the stub resolver. Another known server implementation parses the response by itself, and sends a separate response with RCODE 2 ("Server failure").

In either case, the broken response does not affect queries for an A RR of the same name. If the stub resolver falls back to A queries, it will get an appropriate response.

The latter case, however, causes the same bad effect as that described in the previous section: redundant queries for AAAA RRs.

4.5. Make Lame Delegation

Some authoritative servers respond to AAAA queries in a way that causes lame delegation. In this case, the parent zone specifies that the authoritative server should have the authority of a zone, but the server should not return an authoritative response for AAAA queries within the zone (i.e., the AA bit in the response is not set). On the other hand, the authoritative server returns an authoritative response for A queries.

When a caching server asks the server for AAAA RRs in the zone, it recognizes the delegation is lame, and returns a response with RCODE 2 ("Server failure") to the stub resolver.

Furthermore, some caching servers record the authoritative server as lame for the zone and will not use it for a certain period of time. With this type of caching server, even if the stub resolver falls back to querying for an A RR, the caching server will simply return a response with RCODE 2, since all the servers are known to be "lame."

There is also an implementation that relaxes the behavior a little bit. It tries to avoid using the lame server, but continues to try it as a last resort. With this type of caching server, the stub resolver will get a correct response if it falls back after Server failure. However, this still causes redundant AAAA queries, as explained in the previous sections.
5. Security Considerations

The CERT/CC pointed out that the response with RCODE 3 ("Name Error"), described in Section 4.2, can be used for a denial of service attack [2]. The same argument applies to the case of "lame delegation", described in Section 4.5, with a certain type of caching server.

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