Additional New ASN.1 Modules for the Cryptographic Message Syntax (CMS) and the Public Key Infrastructure Using X.509 (PKIX)

Abstract

The Cryptographic Message Syntax (CMS) format, and many associated formats, are expressed using ASN.1. The current ASN.1 modules conform to the 1988 version of ASN.1. This document updates some auxiliary ASN.1 modules to conform to the 2008 version of ASN.1; the 1988 ASN.1 modules remain the normative version. There are no bits-on-the-wire changes to any of the formats; this is simply a change to the syntax.

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Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at http://www.rfc-editor.org/info/rfc6268.

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1. Introduction

Some developers would like the IETF to use the latest version of ASN.1 in its standards. Most of the RFCs that relate to security protocols still use ASN.1 from the 1988 standard, which has been deprecated. This is particularly true for the standards that relate to PKIX, CMS, and Secure/Multipurpose Internet Mail Extensions (S/MIME).

In this document we have either changed the syntax to use the 2008 ASN.1 standard, or done some updates from previous conversions. The ASN.1 modules updated came from the following RFCs:

- **RFC 3274**, Compressed Data Content Type for Cryptographic Message Syntax (CMS) [RFC3274].
- **RFC 3779**, X.509 Extensions for IP Addresses and AS Identifiers [RFC3779].
- **RFC 6019**, BinaryTime: An Alternate Format for Representing Date and Time in ASN.1 [RFC6019].
- **RFC 4073**, Protecting Multiple Contents with the Cryptographic Message Syntax (CMS) [RFC4073].
- **RFC 4231**, Identifiers and Test Vectors for HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384, and HMAC-SHA-512 [RFC4231].
- **RFC 4334**, Certificate Extensions and Attributes Supporting Authentication in Point-to-Point Protocol (PPP) and Wireless Local Area Networks (WLAN) [RFC4334].
- **RFC 5083**, Cryptographic Message Syntax (CMS) Authenticated-Enveloped-Data Content Type [RFC5083].
- **RFC 5652**, Cryptographic Message Syntax (CMS) [RFC5652].
- **RFC 5752**, Multiple Signatures in Cryptographic Message Syntax (CMS) [RFC5752].

Note that some of the modules in this document get some of their definitions from places different than the modules in the original RFCs. The idea is that these modules, when combined with the modules in [RFC5911] and [RFC5912], can stand on their own and do not need to import definitions from anywhere else.
This document does not explicitly update the RFCs from which the ASN.1 modules have been extracted. This is because the original 1988 ASN.1 syntax remains the normative version and the modules in this document as well as in [RFC5911] and [RFC5912] are informative (but hopefully useful) annexes.

1.1. ASN.1 Updates (2002 to 2008)

The modules defined in this document are compatible with the most current ASN.1 specification published in 2008 (see [ASN1-2008]). The changes between the 2002 specification and the 2008 specification include the creation of additional pre-defined types (DATE, DATE-TIME, DURATION, NOT-A-NUMBER, OID-IRI, RELATIVE-OID-IRI, TIME, TIME-OF-DAY) and the ability to define different encoding rules (ENCODING-CONTROL, INSTRUCTIONS). None of the newly defined tokens are currently used in any of the ASN.1 specifications published here.

Information on the changes to ASN.1 between the 1988 and 2002 versions can be found in [RFC6025].

1.2. Requirements Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].
2. ASN.1 Module RFC 3274

We have updated the ASN.1 module associated with this document to be 2008 compliant and to use the set of classes previously defined in [RFC5911].

CompressedDataContent-2010
{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
  smime(16) modules(0) id-mod-compressedDataContent(54) }

DEFINITIONS IMPLICIT TAGS ::= BEGIN

IMPORTS CMSVersion, ContentSet, CONTENT-TYPE
FROM CryptographicMessageSyntax-2010
{ iso(1) member-body(2) us(840) rsadsi(113549)
  pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-2009(58) }

AlgorithmIdentifier{}, SMIME-CAPS, ParamOptions
FROM AlgorithmInformation-2009
{ iso(1) identified-organization(3) dod(6) internet(1) security(5)
  mechanisms(5) pkix(7) id-mod(0)
  id-mod-algorithmInformation-02(58) }
;

-- ContentTypes contains the set of content types that are
-- defined in this module.
--
-- The contents of ContentTypes should be added to
-- ContentSet defined in [RFC5652]
--

ContentTypes CONTENT-TYPE ::= {ct-compressedData}

-- SMimeCaps contains the set of S/MIME capabilities that
-- are associated with the algorithms defined in this
-- document.
--
-- SMimeCaps are added to the SMimeCapsSet defined in
-- [RFC5751] as updated by [RFC5911].

SMimeCaps SMIME-CAPS ::= {cpa-zlibCompress.&smimeCaps, ...}
ct-compressedData CONTENT-TYPE ::= {
    TYPE CompressedData IDENTIFIED BY id-ct-compressedData
}

CompressedData ::= SEQUENCE {
    version CMSVersion (v0), -- Always set to 0
    compressionAlgorithm CompressionAlgorithmIdentifier,
    encapContentInfo EncapsulatedContentInfo
}

EncapsulatedContentInfo ::= SEQUENCE {
    eContentType CONTENT-TYPE.&id({ContentSet}),
    eContent [0] EXPLICIT OCTET STRING OPTIONAL
}

CompressionAlgorithmIdentifier ::= AlgorithmIdentifier{COMPRESS-ALGORITHM, {CompressAlgorithmSet}}

CompressAlgorithmSet COMPRESS-ALGORITHM ::= {
    cpa-zlibCompress, ...
}

Algorithm Identifiers

id-alg-zlibCompress OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) alg(3) 8
}

cpa-zlibCompress COMPRESS-ALGORITHM ::= {
    IDENTIFIER id-alg-zlibCompress
    PARAMS TYPE NULL ARE preferredAbsent
    SMIME-CAPS (IDENTIFIED BY id-alg-zlibCompress)
}

Content Type Object Identifiers

id-ct-compressedData OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) ct(1) 9
}

Class defined for compression algorithms
COMPRESS-ALGORITHM ::= CLASS {
  &id                OBJECT IDENTIFIER UNIQUE,
  &Params            OPTIONAL,
  &paramPresence     ParamOptions DEFAULT absent,
  &smimeCaps         SMIME-CAPS OPTIONAL
}
WITH SYNTAX {
  IDENTIFIER &id
  [PARAMS [TYPE &Params] ARE &paramPresence]
  [SMIME-CAPS &smimeCaps]
}

END

3. ASN.1 Module RFC 3779

We have updated the ASN.1 module associated with RFC 3779 to be ASN.1 2008 compliant and to use the set of classes previously defined in [RFC5912].

IPAddrAndASCertExtn-2010 { iso(1) identified-organization(3) dod(6)
internet(1) security(5) mechanisms(5) pkix(7) mod(0)
  id-mod-ip-addr-and-as-ident-2(72) } 
DEFINITIONS EXPLICIT TAGS ::= 
BEGIN 
  EXPORTS ALL;

  IMPORTS

-- PKIX specific OIDs and arcs --
id-pe
FROM PKIX1Explicit-2009
  { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-pkix1-explicit-02(51)}

EXTENSION FROM PKIX-CommonTypes-2009
  { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-pkixCommon-02(57)}
;
-- Extensions contains the set of extensions defined in this
-- module
--
-- These are intended to be placed in public key certificates
-- and thus should be added to the CertExtensions extension
-- set in PKIXImplicit-2009 defined for [RFC5280]

Extensions EXTENSION ::= {
   ext-pe-ipAddrBlocks | ext-pe-autonomousSysIds
}

-- IP Address Delegation Extension OID --

ext-pe-ipAddrBlocks EXTENSION ::= {
   SYNTAX IPAddrBlocks
   IDENTIFIED BY id-pe-ipAddrBlocks
}

id-pe-ipAddrBlocks OBJECT IDENTIFIER ::= { id-pe 7 }

-- IP Address Delegation Extension Syntax --

IPAddrBlocks ::= SEQUENCE OF IPAddressFamily

IPAddressFamily ::= SEQUENCE { -- AFI & opt SAFI --
   addressFamily OCTET STRING (SIZE (2..3)),
   ipAddressChoice IPAddressChoice }

IPAddressChoice ::= CHOICE {
   inherit NULL, -- inherit from issuer --
   addressesOrRanges SEQUENCE OF IPAddressOrRange }

IPAddressOrRange ::= CHOICE {
   addressPrefix IPAddress,
   addressRange IPAddressRange }

IPAddressRange ::= SEQUENCE {
   min IPAddress,
   max IPAddress }

IPAddress ::= BIT STRING
-- Autonomous System Identifier Delegation Extension OID --

ext-pe-autonomousSysIds  EXTENSION ::= {
    SYNTAX ASIdentifiers
    IDENTIFIED BY id-pe-autonomousSysIds
}

id-pe-autonomousSysIds  OBJECT IDENTIFIER ::= { id-pe 8 }

-- Autonomous System Identifier Delegation Extension Syntax --

ASIdentifiers ::= SEQUENCE {
    asnum               [0] ASIdentifierChoice OPTIONAL,
    rdi                 [1] ASIdentifierChoice OPTIONAL
}  (WITH COMPONENTS {..., asnum PRESENT} |
      WITH COMPONENTS {..., rdi PRESENT})

ASIdentifierChoice ::= CHOICE {
    inherit              NULL, -- inherit from issuer --
    asIdsOrRanges        SEQUENCE OF ASIdOrRange }

ASIdOrRange ::= CHOICE {
    id                  ASId,
    range               ASRange }

ASRange ::= SEQUENCE {
    min                 ASId,
    max                 ASId }

ASId ::= INTEGER

END
4. ASN.1 Module RFC 6019

We have updated the ASN.1 module associated with this document to be 2008 compliant and to use the set of classes previously defined in [RFC5911].

BinarySigningTimeModule-2010
  { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
    pkcs-9(9) smime(16) modules(0)
    id-mod-binSigningTime-2009(55) }
DEFINITIONS IMPLICIT TAGS ::= BEGIN
IMPORTS

-- From PKIX-CommonTypes-2009 [RFC5912]

ATTRIBUTE
  FROM PKIX-CommonTypes-2009
  { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-pkixCommon-02(57) }
;

-- BinaryTime Definition

-- BinaryTime contains the number seconds since
-- midnight Jan 1, 1970 UTC.
-- Leap seconds are EXCLUDED from the computation.

BinaryTime ::= INTEGER (0..MAX)

-- Signing Binary Time Attribute
-- The binary signing time should be added to
-- SignedAttributeSet and AuthAttributeSet in CMS [RFC5652]
-- and to AuthEnvDataAttributeSet in [RFC5083] with the
-- new modules in this document, RFC 6268.
aa-binarySigningTime ATTRIBUTE ::= {
  TYPE BinarySigningTime
  IDENTIFIED BY id-aa-binarySigningTime }

id-aa-binarySigningTime OBJECT IDENTIFIER ::= { iso(1)
  member-body(2) us(840) rsadsi(113549) pkcs(1)
  pkcs9(9) smime(16) aa(2) 46 }

BinarySigningTime ::= BinaryTime

END

5. ASN.1 Module RFC 4073

We have updated the ASN.1 module associated with this document to be
2008 compliant and to use the set of classes previously defined in
[RFC5911].

ContentCollectionModule-2010
{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
  pkcs9(9) smime(16) modules(0) id-mod-context-Collect-2009(56) }
DEFINITIONS IMPLICIT TAGS ::= BEGIN
IMPORTS

-- From CryptographicMessageSyntax-2010 [RFC6268]

CONTENT-TYPE, ContentInfo
FROM CryptographicMessageSyntax-2010
{ iso(1) member-body(2) us(840) rsadsi(113549)
  pkcs(1) pkcs9(9) smime(16) modules(0) id-mod-cms-2009(58) }

AttributeSet{}, ATTRIBUTE
FROM PKIX-CommonTypes-2009
{ iso(1) identified-organization(3) dod(6) internet(1)
  security(5) mechanisms(5) pkix(7) id-mod(0)
  id-mod-pkixCommon-02(57) }

;

-- An object set of all content types defined by this module.
-- This is to be added to ContentSet in the CMS module
--

ContentSet CONTENT-TYPE ::= {
  ct-ContentCollection | ct-ContentWithAttributes, ...
}
6. ASN.1 Module RFC 4231

RFC 4231 does not contain an ASN.1 module to be updated. We have therefore created an ASN.1 module to represent the ASN.1 that is present in the document. Note that the parameters are defined as expecting a parameter for the algorithm identifiers in this module; this is different from most of the algorithms used in PKIX and S/MIME. There is no concept of being able to truncate the MAC (Message Authentication Code) value in the ASN.1 unlike the XML definitions. This is reflected by not having a minimum MAC length defined in the ASN.1.
HMAC-2010 { iso(1) identified-organization(3) dod(6) internet(1)  
    security(5) mechanisms(5) pkix(7) mod(0) id-mod-hmac(74) }  
DEFINITIONS EXPLICIT TAGS ::=  
BEGIN  
EXPORTS ALL;  
IMPORTS  
MAC-ALGORITHM, SMIME-CAPS  
FROM AlgorithmInformation-2009  
{ iso(1) identified-organization(3) dod(6) internet(1) security(5)  
    mechanisms(5) pkix(7) id-mod(0)  
    id-mod-algorithmInformation-02(58) };  
--  
--  This object set contains all of the MAC algorithms that are  
--  defined in this module.  
--  One would add it to a constraining set of objects such as the  
--  MessageAuthenticationCodeAlgorithmSet in [RFC5652]  
--  
MessageAuthAlgs MAC-ALGORITHM ::= {  
    maca-hMAC-SHA224 |  
    maca-hMAC-SHA256 |  
    maca-hMAC-SHA384 |  
    maca-hMAC-SHA512  
}  
--  
--  This object set contains all of the S/MIME capabilities that  
--  have been defined for all the MAC algorithms in this module.  
--  One would add this to an object set that is used to restrict  
--  S/MIME capabilities such as the SMimeCapsSet variable in  
--  RFC 3851 (obsoleted by RFC 5751) as modified in RFC 5911.  
--  
SMimeCaps SMIME-CAPS ::= {  
    maca-hMAC-SHA224.&smimeCaps |  
    maca-hMAC-SHA256.&smimeCaps |  
    maca-hMAC-SHA384.&smimeCaps |  
    maca-hMAC-SHA512.&smimeCaps  
}  
--  
--  Define the base OID for the algorithm identifiers  
--  

rsadsi OBJECT IDENTIFIER ::=  
{iso(1) member-body(2) us(840) rsadsi(113549)}

digestAlgorithm OBJECT IDENTIFIER ::= {rsadsi 2}  

--  Define the necessary algorithm identifiers  
--

id-hmacWithSHA224 OBJECT IDENTIFIER ::= {digestAlgorithm 8}  
id-hmacWithSHA256 OBJECT IDENTIFIER ::= {digestAlgorithm 9}  
id-hmacWithSHA384 OBJECT IDENTIFIER ::= {digestAlgorithm 10}  
id-hmacWithSHA512 OBJECT IDENTIFIER ::= {digestAlgorithm 11}  

--  Define each of the MAC-ALGORITHM objects to describe the  
--    algorithms defined  
--

maca-hMAC-SHA224 MAC-ALGORITHM ::= {  
  IDENTIFIER id-hmacWithSHA224  
  PARAMS TYPE NULL ARE preferredPresent  
  IS-KEYED-MAC TRUE  
  SMIME-CAPS {IDENTIFIED BY id-hmacWithSHA224}  
}

maca-hMAC-SHA256 MAC-ALGORITHM ::= {  
  IDENTIFIER id-hmacWithSHA256  
  PARAMS TYPE NULL ARE preferredPresent  
  IS-KEYED-MAC TRUE  
  SMIME-CAPS {IDENTIFIED BY id-hmacWithSHA256}  
}

maca-hMAC-SHA384 MAC-ALGORITHM ::= {  
  IDENTIFIER id-hmacWithSHA384  
  PARAMS TYPE NULL ARE preferredPresent  
  IS-KEYED-MAC TRUE  
  SMIME-CAPS {IDENTIFIED BY id-hmacWithSHA384}  
}
maca-hMAC-SHA512 MAC-ALGORITHM ::= {
    IDENTIFIER id-hmacWithSHA512
    PARAMS TYPE NULL ARE preferredPresent
    IS-KEYED-MAC TRUE
    SMIME-CAPS (IDENTIFIED BY id-hmacWithSHA512)
}

END

7. ASN.1 Module RFC 4334

We have updated the ASN.1 module associated with RFC 4334 to be ASN.1 2008 compliant and to use the set of classes previously defined in [RFC5912].

WLANCertExtn-2010
{ iso(1) identified-organization(3) dod(6) internet(1) security(5) mechanisms(5) pkix(7) id-mod(0)
  id-mod-wlan-extns-2(73) }

DEFINITIONS IMPLICIT TAGS :=
BEGIN
EXPORTS ALL;

IMPORTS

EXTENSION, ATTRIBUTE
FROM PKIX-CommonTypes-2009
{ iso(1) identified-organization(3) dod(6) internet(1) security(5) mechanisms(5) pkix(7) id-mod(0) id-mod-pkixCommon-02(57) }

id-pe, id-kp
FROM PKIX1Explicit-2009
{ iso(1) identified-organization(3) dod(6) internet(1) security(5) mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-explicit-02(51) }

id-aca
FROM PKIXAttributeCertificate-2009
{ iso(1) identified-organization(3) dod(6) internet(1) security(5) mechanisms(5) pkix(7) id-mod(0) id-mod-attribute-cert-02(47) }

;

-- Extended Key Usage Values

KeyUsageValues OBJECT IDENTIFIER ::= {
  id-kp-eapOverPPP | id-kp-eapOverLAN
}
id-kp-eapOverPPP OBJECT IDENTIFIER ::= { id-kp 13 }

id-kp-eapOverLAN OBJECT IDENTIFIER ::= { id-kp 14 }

-- Wireless LAN SSID Extension

ext-pe-wlanSSID EXTENSION ::= {
  SYNTAX SSIDList
  IDENTIFIED BY id-pe-wlanSSID
  CRITICALITY {FALSE}
}

id-pe-wlanSSID OBJECT IDENTIFIER ::= { id-pe 13 }

SSIDList ::= SEQUENCE SIZE (1..MAX) OF SSID

SSID ::= OCTET STRING (SIZE (1..32))

-- Wireless LAN SSID Attribute Certificate Attribute
-- Uses same syntax as the certificate extension: SSIDList

at-aca-wlanSSID ATTRIBUTE ::= {
  TYPE SSIDList
  IDENTIFIED BY id-aca-wlanSSID
}

id-aca-wlanSSID OBJECT IDENTIFIER ::= { id-aca 7 }

END

8. ASN.1 Module RFC 5083

This module is updated from RFC 5911 [RFC5911] by the following changes:

1. Define separate attribute sets for the unprotected attributes used in EnvelopedData, EncryptedData, and AuthenticatedEnvelopedData (RFC 5083).

2. Define a parameterized type EncryptedContentInfoType so that the basic type can be used with different algorithm sets (used for EnvelopedData, EncryptedData, and AuthenticatedEnvelopedData (RFC
The parameterized type is assigned to an unparameterized type of EncryptedContentInfo to minimize the output changes from previous versions.

Protocol designers can make use of the ‘08 ASN.1 constraints to define different sets of attributes for EncryptedData and EnvelopedData and for AuthenticatedData and AuthEnvelopedData. Previously, attributes could only be constrained based on whether they were in the clear or unauthenticated not on the encapsulating content type.

```
CMS-AuthEnvelopedData-2010
  (iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
   smime(16) modules(0) id-mod-cmsAuthEnvData-2009(57) )
DEFINITIONS IMPLICIT TAGS ::= BEGIN
IMPORTS

CMSVersion, EncryptedContentInfoType{},
  MessageAuthenticationCode, OriginatorInfo, RecipientInfos,
  CONTENT-TYPE, Attributes{}, ATTRIBUTE, CONTENT-ENCRYPTION,
  AlgorithmIdentifier{},
  aa-signingTime, aa-messageDigest, aa-contentType
FROM CryptographicMessageSyntax-2010
  (iso(1) member-body(2) us(840) rsadsi(113549)
   pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-2009(58) )

ContentEncryptionAlgs
FROM CMS-AES-CCM-and-AES-GCM-2009
  (iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
   pkcs-9(9) smime(16) modules(0) id-mod-cms-aes-ccm-gcm-02(44) )
;

ContentTypes CONTENT-TYPE ::= {ct-authEnvelopedData, ... }

ct-authEnvelopedData CONTENT-TYPE ::= {
  TYPE AuthEnvelopedData IDENTIFIED BY id-ct-authEnvelopedData
}

id-ct-authEnvelopedData OBJECT IDENTIFIER ::= {
  (iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
   smime(16) ct(1) 23}

AuthEnvelopedData ::= SEQUENCE {
  version CMSVersion,
  originatorInfo [0] IMPLICIT OriginatorInfo OPTIONAL,
  recipientInfos RecipientInfos,
  authEncryptedContentInfo EncryptedContentInfo
}
```
9.  ASN.1 Module RFC 5652

This module is updated from RFC 5911 [RFC5911] by the following changes:

1. Define separate attribute sets for the unprotected attributes used in EnvelopedData, EncryptedData, and AuthenticatedEnvelopedData (RFC 5083).

2. Define a parameterized type EncryptedContentInfoType so that the basic type can be used with algorithm sets (used for EnvelopedData, EncryptedData, and AuthenticatedEnvelopedData (RFC 5083)). The parameterized type is assigned to an unparameterized type of EncryptedContentInfo to minimize the output changes from previous versions.

We are anticipating the definition of attributes that are going to be restricted to the use of only EnvelopedData. We are therefore separating the different attribute sets so that protocol designers that need to do this will be able to define attributes that are used for EnvelopedData, but not for EncryptedData. The same separation is also being applied to AuthenticatedData and AuthEnvelopedData.
CryptographicMessageSyntax-2010
   { iso(1) member-body(2) us(840) rsadsi(113549)
     pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-2009(58) }
DEFINITIONS IMPLICIT TAGS ::= BEGIN
IMPORTS
   ParamOptions, DIGEST-ALGORITHM, SIGNATURE-ALGORITHM,
   PUBLIC-KEY, KEY-DERIVATION, KEY-WRAP, MAC-ALGORITHM,
   KEY-AGREE, KEY-TRANSPORT, CONTENT-ENCRYPTION, ALGORITHM,
   AlgorithmIdentifier{} FROM AlgorithmInformation-2009
   (iso(1) identified-organization(3) dod(6) internet(1) security(5)
     mechanisms(5) pkix(7) id-mod(0)
     id-mod-algorithmInformation-02(58))
   SignatureAlgs, MessageDigestAlgs, KeyAgreementAlgs,
   MessageAuthAlgs, KeyWrapAlgs, ContentEncryptionAlgs,
   KeyTransportAlgs, KeyDerivationAlgs, KeyAgreePublicKeys
FROM CryptographicMessageSyntaxAlgorithms-2009
   { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
     smime(16) modules(0) id-mod-cmsalg-2001-02(37) }
   Certificate, CertificateList, CertificateSerialNumber,
   Name, ATTRIBUTE
FROM PKIX1Explicit-2009
   (iso(1) identified-organization(3) dod(6) internet(1)
     security(5) mechanisms(5) pkix(7) id-mod(0)
     id-mod-pkix1-explicit-02(51) )
   AttributeCertificate
FROM PKIXAttributeCertificate-2009
   (iso(1) identified-organization(3) dod(6) internet(1)
     security(5) mechanisms(5) pkix(7) id-mod(0)
     id-mod-attribute-cert-02(47) )
   AttributeCertificateV1
FROM AttributeCertificateVersion1-2009
   ( iso(1) identified-organization(3) dod(6) internet(1)
     security(5) mechanisms(5) pkix(7) id-mod(0)
     id-mod-v1AttrCert-02(49) ) ;
-- Cryptographic Message Syntax

-- The following are used for version numbers using the ASN.1
-- NOTE: The document reference represents where the versioned
-- feature was introduced to the module.
-- 
-- idiom "[n:" 
-- Version 1 = PKCS #7
-- Version 2 = S/MIME V2
-- Version 3 = RFC 2630
-- Version 4 = RFC 3369
-- Version 5 = RFC 3852

CONTENT-TYPE ::= CLASS {
    &id OBJECT IDENTIFIER UNIQUE,
    &Type OPTIONAL
} WITH SYNTAX {
    [TYPE &Type] IDENTIFIED BY &id
}

ContentType ::= CONTENT-TYPE.&id

ContentInfo ::= SEQUENCE {
    contentType CONTENT-TYPE.
    &id({ContentSet}),
    content [0] EXPLICIT CONTENT-TYPE.
    &Type({ContentSet}@contentType)}

ContentSet CONTENT-TYPE ::= {
    -- Define the set of content types to be recognized.
    ct-Data | ct-SignedData | ct-EncryptedData | ct-EnvelopedData |
    ct-AuthenticatedData | ct-DigestedData, ...
}

SignedData ::= SEQUENCE {
    version CMSVersion,
    digestAlgorithms SET OF DigestAlgorithmIdentifier,
    encapsContentInfo EncapsulatedContentInfo,
    certificates [0] IMPLICIT CertificateSet OPTIONAL,
    crls [1] IMPLICIT RevocationInfoChoices OPTIONAL,
    signerInfos SignerInfos }

SignerInfos ::= SET OF SignerInfo

EncapsulatedContentInfo ::= SEQUENCE {
    eContentType CONTENT-TYPE.&id({ContentSet}),
    eContent [0] EXPLICIT OCTET STRING
    ( CONTAINING CONTENT-TYPE.
        &Type({ContentSet}@eContentType)) OPTIONAL }
SignerInfo ::= SEQUENCE {
  version CMSVersion,
  sid SignerIdentifier,
  digestAlgorithm DigestAlgorithmIdentifier,
  signedAttrs [0] IMPLICIT SignedAttributes OPTIONAL,
  signatureAlgorithm SignatureAlgorithmIdentifier,
  signature SignatureValue,
  unsignedAttrs [1] IMPLICIT Attributes
     {{UnsignedAttributes}} OPTIONAL }

SignedAttributes ::= Attributes {{ SignedAttributesSet }}

SignerIdentifier ::= CHOICE {
  issuerAndSerialNumber IssuerAndSerialNumber,
  ...,
  [3: subjectKeyIdentifier [0] SubjectKeyIdentifier ] }

SignedAttributesSet ATTRIBUTE ::= {
  aa-signingTime | aa-messageDigest | aa-contentType, ... }

UnsignedAttributes ATTRIBUTE ::= { aa-countersignature, ... }

SignatureValue ::= OCTET STRING

EnvelopedData ::= SEQUENCE {
  version CMSVersion,
  originatorInfo [0] IMPLICIT OriginatorInfo OPTIONAL,
  recipientInfos RecipientInfos,
  encryptedContentInfo EncryptedContentInfo,
  ...,
  [2: unprotectedAttrs [1] IMPLICIT Attributes
     {{ UnprotectedEnvAttributes }} OPTIONAL ] }

OriginatorInfo ::= SEQUENCE {
  certs [0] IMPLICIT CertificateSet OPTIONAL,
  crls [1] IMPLICIT RevocationInfoChoices OPTIONAL }

RecipientInfos ::= SET SIZE (1..MAX) OF RecipientInfo

EncryptedContentInfo ::= EncryptedContentInfoType {
  ContentEncryptionAlgorithmIdentifier }

EncryptedContentInfoType { AlgorithmIdentifierType } ::= SEQUENCE {
  contentType CONTENT-TYPE.&id({ContentSet}),
  contentEncryptionAlgorithm AlgorithmIdentifierType,
  encryptedContent [0] IMPLICIT OCTET STRING OPTIONAL }
-- If you want to do constraints, you might use:
-- EncryptedContentInfo ::= SEQUENCE {
--   contentType CONTENT-TYPE.&id({ContentSet}),
--   contentEncryptionAlgorithm ContentEncryptionAlgorithmIdentifier,
--   encryptedContent [0] IMPLICIT ENCRYPTED (CONTENT-TYPE.
--     &Type({ContentSet}@contentType) OPTIONAL }
-- ENCRYPTED {ToBeEncrypted} ::= OCTET STRING (CONSTRAINED BY
--   (ToBeEncrypted))

UnprotectedEnvAttributes ATTRIBUTE ::= { ... }
UnprotectedEncAttributes ATTRIBUTE ::= { ... }

RecipientInfo ::= CHOICE {
  ktri        KeyTransRecipientInfo,
  ...,
  [[3: kari [1] KeyAgreeRecipientInfo ]],
  [[5: pwri [3] PasswordRecipientInfo,
    ori [4] OtherRecipientInfo ] } }

EncryptedKey ::= OCTET STRING

KeyTransRecipientInfo ::= SEQUENCE {
  version CMSVersion, -- always set to 0 or 2
  rid RecipientIdentifier,
  keyEncryptionAlgorithm AlgorithmIdentifier
    {KEY-TRANSPORT, {KeyTransportAlgorithmSet}},
  encryptedKey EncryptedKey }

KeyTransportAlgorithmSet KEY-TRANSPORT ::= { KeyTransportAlgs, ... }

RecipientIdentifier ::= CHOICE {
  issuerAndSerialNumber IssuerAndSerialNumber,
  ...,
  [[2: subjectKeyIdentifier [0] SubjectKeyIdentifier ]] }

KeyAgreeRecipientInfo ::= SEQUENCE {
  version CMSVersion, -- always set to 3
  originator [0] EXPLICIT OriginatorIdentifierOrKey,
  ukm [1] EXPLICIT UserKeyingMaterial OPTIONAL,
  keyEncryptionAlgorithm AlgorithmIdentifier
    {KEY-AGREE, {KeyAgreementAlgorithmSet}},
  recipientEncryptedKeys RecipientEncryptedKeys }

KeyAgreementAlgorithmSet KEY-AGREE ::= { KeyAgreementAlgs, ... }
OriginatorIdentifierOrKey ::= CHOICE {
   issuerAndSerialNumber IssuerAndSerialNumber,
   subjectKeyIdentifier [0] SubjectKeyIdentifier,
   originatorKey [1] OriginatorPublicKey }

OriginatorPublicKey ::= SEQUENCE {
   algorithm AlgorithmIdentifier {PUBLIC-KEY, {OriginatorKeySet}},
   publicKey BIT STRING }

OriginatorKeySet PUBLIC-KEY ::= { KeyAgreePublicKeys, ... }

RecipientEncryptedKeys ::= SEQUENCE OF RecipientEncryptedKey

RecipientEncryptedKey ::= SEQUENCE {
   rid KeyAgreeRecipientIdentifier,
   encryptedKey EncryptedKey }

KeyAgreeRecipientIdentifier ::= CHOICE {
   issuerAndSerialNumber IssuerAndSerialNumber,
   rKeyId [0] IMPLICIT RecipientKeyIdentifier }

RecipientKeyIdentifier ::= SEQUENCE {
   subjectKeyIdentifier SubjectKeyIdentifier,
   date GeneralizedTime OPTIONAL,
   other OtherKeyAttribute OPTIONAL }

SubjectKeyIdentifier ::= OCTET STRING

KEKRecipientInfo ::= SEQUENCE {
   version CMSVersion, -- always set to 4
   kekid KEKIdentifier,
   keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
   encryptedKey EncryptedKey }

KEKIdentifier ::= SEQUENCE {
   keyIdentifier OCTET STRING,
   date GeneralizedTime OPTIONAL,
   other OtherKeyAttribute OPTIONAL }

PasswordRecipientInfo ::= SEQUENCE {
   version CMSVersion, -- always set to 0
   keyDerivationAlgorithm [0] KeyDerivationAlgorithmIdentifier
   OPTIONAL,
   keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
   encryptedKey EncryptedKey }

OTHER-RECIPIENT ::= TYPE-IDENTIFIER
OtherRecipientInfo ::= SEQUENCE {
  oriType OTHER-RECIPIENT.
  &id({SupportedOtherRecipInfo}),
  oriValue OTHER-RECIPIENT.
  &type({SupportedOtherRecipInfo}@oriType))

SupportedOtherRecipInfo OTHER-RECIPIENT ::= { ... }

DigestedData ::= SEQUENCE {
  version CMSVersion,
  digestAlgorithm DigestAlgorithmIdentifier,
  encapContentInfo EncapsulatedContentInfo,
  digest Digest, ... }

Digest ::= OCTET STRING

EncryptedData ::= SEQUENCE {
  version CMSVersion,
  encryptedContentInfo EncryptedContentInfo,
  ...,
  [[2: unprotectedAttrs [1] IMPLICIT Attributes
    {{UnprotectedEncAttributes}} OPTIONAL ]] }

AuthenticatedData ::= SEQUENCE {
  version CMSVersion,
  originatorInfo [0] IMPLICIT OriginatorInfo OPTIONAL,
  recipientInfos RecipientInfos,
  macAlgorithm MessageAuthenticationCodeAlgorithm,
  digestAlgorithm [1] DigestAlgorithmIdentifier OPTIONAL,
  encapContentInfo EncapsulatedContentInfo,
  authAttrs [2] IMPLICIT AuthAttributes OPTIONAL,
  mac MessageAuthenticationCode,
  unauthAttrs [3] IMPLICIT UnauthAttributes OPTIONAL }

AuthAttributes ::= SET SIZE (1..MAX) OF Attribute
  {{AuthAttributeSet}}

AuthAttributeSet ATTRIBUTE ::= { aa-contentType | aa-messageDigest
  | aa-signingTime, ...}

MessageAuthenticationCode ::= OCTET STRING

UnauthAttributes ::= SET SIZE (1..MAX) OF Attribute
  {{UnauthAttributeSet}}

UnauthAttributeSet ATTRIBUTE ::= {...}
-- General algorithm definitions

DigestAlgorithmIdentifier ::= AlgorithmIdentifier
   {DIGEST-ALGORITHM, {DigestAlgorithmSet}}

DigestAlgorithmSet DIGEST-ALGORITHM ::= {
   CryptographicMessageSyntaxAlgorithms-2009.MessageDigestAlgs, ... }

SignatureAlgorithmIdentifier ::= AlgorithmIdentifier
   {SIGNATURE-ALGORITHM, {SignatureAlgorithmSet}}

SignatureAlgorithmSet SIGNATURE-ALGORITHM ::= {
   SignatureAlgs, ... }

KeyEncryptionAlgorithmIdentifier ::= AlgorithmIdentifier
   {KEY-WRAP, {KeyEncryptionAlgorithmSet}}

KeyEncryptionAlgorithmSet KEY-WRAP ::= { KeyWrapAlgs, ... }

ContentEncryptionAlgorithmIdentifier ::= AlgorithmIdentifier
   {CONTENT-ENCRYPTION, {ContentEncryptionAlgorithmSet}}

ContentEncryptionAlgorithmSet CONTENT-ENCRYPTION ::= {
   ContentEncryptionAlgs, ... }

MessageAuthenticationCodeAlgorithm ::= AlgorithmIdentifier
   {MAC-ALGORITHM, {MessageAuthenticationCodeAlgorithmSet}}

MessageAuthenticationCodeAlgorithmSet MAC-ALGORITHM ::= {
   MessageAuthAlgs, ... }

KeyDerivationAlgorithmIdentifier ::= AlgorithmIdentifier
   {KEY-DERIVATION, {KeyDerivationAlgs, ...}}

RevocationInfoChoices ::= SET OF RevocationInfoChoice

RevocationInfoChoice ::= CHOICE {
   crl CertificateList,
   ...
   [[5: other [1] IMPLICIT OtherRevocationInfoFormat ]] }

OTHER-REVOK-INFO ::= TYPE-IDENTIFIER
OtherRevocationInfoFormat ::= SEQUENCE {
  otherRevInfoFormat OTHER-REVOK-INFO.
    &id({SupportedOtherRevokInfo}),
  otherRevInfo OTHER-REVOK-INFO.
    &Type({SupportedOtherRevokInfo}{@otherRevInfoFormat})
}

SupportedOtherRevokInfo OTHER-REVOK-INFO ::= { ... }

CertificateChoices ::= CHOICE {
  certificate Certificate,
  extendedCertificate [0] IMPLICIT ExtendedCertificate,
  -- Obsolete
  ...,
  [[3: v1AttrCert [1] IMPLICIT AttributeCertificateV1]],
  -- Obsolete
  [[5: other [3] IMPLICIT OtherCertificateFormat]]
}

AttributeCertificateV2 ::= AttributeCertificate

OTHER-CERT-FMT ::= TYPE-IDENTIFIER

OtherCertificateFormat ::= SEQUENCE {
  otherCertFormat OTHER-CERT-FMT.
    &id({SupportedCertFormats}),
  otherCert OTHER-CERT-FMT.
    &Type({SupportedCertFormats}{@otherCertFormat})
}

SupportedCertFormats OTHER-CERT-FMT ::= { ... }

CertificateSet ::= SET OF CertificateChoices

IssuerAndSerialNumber ::= SEQUENCE {
  issuer Name,
  serialNumber CertificateSerialNumber
}

CMSVersion ::= INTEGER { v0(0), v1(1), v2(2), v3(3), v4(4), v5(5) }

UserKeyingMaterial ::= OCTET STRING

KEY-ATTRIBUTE ::= TYPE-IDENTIFIER

OtherKeyAttribute ::= SEQUENCE {
  keyAttrId KEY-ATTRIBUTE.
    &id({SupportedKeyAttributes}),
  keyAttr KEY-ATTRIBUTE.
    &Type({SupportedKeyAttributes}{@keyAttrId})
}
SupportedKeyAttributes KEY-ATTRIBUTE ::= { ... }

-- Content Type Object Identifiers

id-ct-contentInfo OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9) smime(16) ct(1) 6 }

cr-Content TYPE OBJECT IDENTIFIER ::= { IDENTIFIED BY id-data }

id-data OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs7(7) 1 }

cr-SignedData TYPE OBJECT IDENTIFIER ::= { TYPE SignedData IDENTIFIED BY id-signedData }

id-signedData OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs7(7) 2 }

cr-EnvelopedData TYPE OBJECT IDENTIFIER ::= { TYPE EnvelopedData IDENTIFIED BY id-envelopedData }

id-envelopedData OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs7(7) 3 }

cr-DigestedData TYPE OBJECT IDENTIFIER ::= { TYPE DigestedData IDENTIFIED BY id-digestedData }

id-digestedData OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs7(7) 5 }

cr-EncryptedData TYPE OBJECT IDENTIFIER ::= { TYPE EncryptedData IDENTIFIED BY id-encryptedData }

id-encryptedData OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs7(7) 6 }

ct-AuthenticatedData TYPE OBJECT IDENTIFIER ::= { TYPE AuthenticatedData IDENTIFIED BY id-ct-authData }

id-ct-authData OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) ct(1) 2 }

--
-- The CMS Attributes
--

MessageDigest ::= OCTET STRING
SigningTime ::= Time

Time ::= CHOICE {
    utcTime UTCTime,
    generalTime GeneralizedTime }

Countersignature ::= SignerInfo

-- Attribute Object Identifiers

aa-contentType ATTRIBUTE ::= {
    TYPE ContentType IDENTIFIED BY id-contentType }

id-contentType OBJECT IDENTIFIER ::= {
    iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs9(9) 3 }

aa-messageDigest ATTRIBUTE ::= {
    TYPE MessageDigest IDENTIFIED BY id-messageDigest }

id-messageDigest OBJECT IDENTIFIER ::= {
    iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs9(9) 4 }

aa-signingTime ATTRIBUTE ::= {
    TYPE SigningTime IDENTIFIED BY id-signingTime }

id-signingTime OBJECT IDENTIFIER ::= {
    iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs9(9) 5 }

aa-countersignature ATTRIBUTE ::= {
    TYPE Countersignature IDENTIFIED BY id-countersignature }

id-countersignature OBJECT IDENTIFIER ::= {
    iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs9(9) 6 }

--
-- Obsolete Extended Certificate syntax from PKCS#6
--

ExtendedCertificateOrCertificate ::= CHOICE {
    certificate Certificate,
    extendedCertificate [0] IMPLICIT ExtendedCertificate }

ExtendedCertificate ::= SEQUENCE {
    extendedCertificateInfo ExtendedCertificateInfo,
    signatureAlgorithm SignatureAlgorithmIdentifier,
    signature Signature }

ExtendedCertificateInfo ::= SEQUENCE {
    version CMSVersion,
    certificate Certificate,
    attributes UnauthAttributes }
Signature ::= BIT STRING

Attribute( ATTRIBUTE:AttrList ) ::= SEQUENCE {
  attrType           ATTRIBUTE.
  &id({AttrList}),
  attrValues         SET OF ATTRIBUTE.
  &Type({AttrList}@attrType)  }

Attributes { ATTRIBUTE:AttrList } ::= 
  SET SIZE (1..MAX) OF Attribute {{ AttrList }}

END

10. ASN.1 Module RFC 5752

We have updated the ASN.1 module associated with this document to be 2008 compliant and to use the set of classes previously defined in [RFC5911].

MultipleSignatures-2010
  { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) modules(0) id-mod-multipleSign-2009(59) }  
DEFINITIONS IMPLICIT TAGS ::= 
BEGIN
  -- EXPORTS All
  -- The types and values defined in this module are exported for use
  -- in the other ASN.1 modules. Other applications may use them for
  -- their own purposes.

IMPORTS

  -- Imports from PKIX-Common-Types-2009 [RFC5912]

  ATTRIBUTE
    FROM PKIX-CommonTypes-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
      security(5) mechanisms(5) pkix(7) id-mod(0)
      id-mod-pkixCommon-02(57) }

    -- Imports from CryptographicMessageSyntax-2010 [RFC6268]

  DigestAlgorithmIdentifier, SignatureAlgorithmIdentifier
    FROM CryptographicMessageSyntax-2010
    { iso(1) member-body(2) us(840) rsadsi(113549)
      pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-2009(58) }
--- Imports from ExtendedSecurityServices-2009 [RFC5911]

ESSCertIDv2
  FROM ExtendedSecurityServices-2009
  { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) modules(0) id-mod-ess-2006-02(42) }

--
-- Section 3.0
--
-- at-multipleSignatures should be added ONLY to the
-- SignedAttributesSet defined in [RFC5652]
--

at-multipleSignatures ATTRIBUTE ::= {
  TYPE MultipleSignatures
  IDENTIFIED BY id-aa-multipleSignatures
}

id-aa-multipleSignatures OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
  id-aa(2) 51 }

MultipleSignatures ::= SEQUENCE {
  bodyHashAlg   DigestAlgorithmIdentifier,
  signAlg       SignatureAlgorithmIdentifier,
  signAttrsHash SignAttrsHash,
  cert          ESSCertIDv2 OPTIONAL
}

SignAttrsHash ::= SEQUENCE {
  algID         DigestAlgorithmIdentifier,
  hash          OCTET STRING
}

END

11. Module Identifiers in ASN.1

One potential issue that can occur when updating modules is the fact that a large number of modules may need to be updated if they import from a newly updated module. This section addresses one method that can be used to deal with this problem, but the modules in this document don’t currently implement the solution discussed here.
When looking at an import statement, there are three portions: The list of items imported, a textual name for the module, and an object identifier for the module. Full implementations of ASN.1 do module matching using first the object identifier, and if that is not present, the textual name of the module. Note however that some older implementations used the textual name of the module for the purposes of matching. In a full implementation, the name assigned to the module is scoped to the ASN.1 module that it appears in (and thus the need to match the module it is importing from).

One can create a module that contains only the module number assignments and import the module assignments from the new module. This means that when a module is replaced, one can replace the previous module, update the module number assignment module, and recompile without having to modify any other modules.

A sample module assignment module would be:

```asn1
ModuleNumbers
DEFINITIONS TAGS ::= BEGIN
    id-mod-CMS ::= { iso(1) member-body(2) us(840) rsadsi(113549)
                   pkcs(1) pkcs-9(9) smime(16) modules(0) 58 }
    id-mod-AlgInfo ::= { iso(1) identified-organization(3) dod(6) internet(1)
                          security(5) mechanisms(5) pkix(7) id-mod(0)
                          id-mod-algorithmInformation-02(58) }
END
```

This would be used in the following import statement:

```asn1
IMPORTS
    id-mod-CMS, id-mod-AlgInfo
FROM ModuleNumbers -- Note it will match on the name since no
                   -- OID is provided
    CMSVersion, EncapsulatedContentInfo, CONTENT-TYPE
FROM CryptographicMessageSyntax-2010
    id-mod-CMS
    AlgorithmIdentifier(), SMIME-CAPS, ParamOptions
FROM AlgorithmInformation-2009 id-mod-AlgInfo
```

---

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12. Security Considerations

This document itself does not have any security considerations. The
ASN.1 modules keep the same bits-on-the-wire as the modules that they
replace.

13. References

13.1. Normative References


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13.2. Informative References


Authors’ Addresses

Jim Schaad
Soaring Hawk Consulting
EMail: ietf@augustcellars.com

Sean Turner
IECA, Inc.
3057 Nutley Street, Suite 106
Fairfax, VA  22031
EMail: turners@ieca.com